Chapter 06: Bureau of Administration Records

Assistant Secretary of Administration

A-06-001-01 General Subject File

Description: These records document the activities of the Assistant Secretary for Administration

in a variety of administrative functions such as, policy, plans, programs, and procedures for Classification/Declassification, Foreign Buildings, Information Systems, Communications and Information Management. Consists of airgrams, telegrams, general correspondence, congressional, memorandum of conversations, briefing materials, letters, memoranda and related documents that have substantive

content. Also includes an index to the subject files.

Disposition: Permanent. Retire to RSC when 3 years old. Transfer to WNRC when 10 years

old. Transfer to the National Archives when 30 years old in 5-year blocks.

DispAuthNo: N1-59-88-8, item 1 a & b **Date Edited:** 6/28/2007

A-06-001-02 Country File

Description: Airgrams, telegrams, general correspondence, congressional, memorandum, of

conversations, briefing materials, letters, memoranda and other material relating to

policies and procedures in the construction of overseas buildings.

Disposition: Permanent. Cut off in year of project completion. Retire with block of subject files

for that year.

DispAuthNo: N1-59-88-8, item 2 **Date Edited:** 4/1/1999

Deputy Assistant Secretary for Operations

A-06-002-01 General Subject Files

Description: These records document the activities of the Deputy Assistant Secretary for

Operations in directing and supervising a variety of administrative functions including supply, transportation, procurement, visual services, language services and general services. Records documenting the policies and procedures relating to

the administrative functions are retained by the subordinate offices.

Disposition: Retire when 2 years old. Destroy when 5 years old.

DispAuthNo: NC1-59-81-5, item 1 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Claims

A-06-003-01 Foreign Service Claims Board File

Description: Consists of application for payment, copy of form authorizing payment, and copy of

Board decision.

Disposition: Destroy 10 years after case is closed.

DispAuthNo: NN-171-120, item 3 **Date Edited:** 4/1/1999

A-06-003-02 Foreign Service Claims Board - General File

Description: Minutes, agenda, reports, decisions, copies of acts, and reports on Board

organization, establishment and procedures.

Disposition: Retain Permanently

DispAuthNo: NN-171-120, item 4 **Date Edited:** 4/1/1999

A-06-003-03 Personal Property Claims File

Description: Includes DS-1620, Claim for Loss or Damage to Personal Property, inventories of

effects, etc., concerned with settlement of claims for loss of personal property of employees of the Department of State, the U.S. Information Agency and the Agency

for International Development

Disposition: Destroy 5 years after case is closed.

DispAuthNo: NN-171-120, Item 5 **Date Edited:** 4/1/1999

A-06-003-04a Personal Property Claims - General Subject File

Description: a. Policy and procedural files concerning implementation of Military Personnel and

Civilian Employees Claims Act of 1964, PL 88 588, approved August 31, 1964 as

amended.

Disposition: Retain Permanently

DispAuthNo: NN-171-120, item 6a **Date Edited:** 4/1/1999

A-06-003-

04b

Personal Property Claims - General Subject File

Description: b. All other operating and general administrative files.

Disposition: Destroy when 5 years old.

DispAuthNo: NN-171-120, item 6b **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-003-05 Claim Record Card

Description: Card record showing claimant's name, settlement date and amount of settlement or

date of disallowance.

Disposition: Retain permanently.

DispAuthNo: NN-171-120, item 7 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Office of the Procurement Executive

A-06-004-01 General Subject and Post Files

Description: Arranged by subject and post name - Documentation pertaining to the formulation of

Department-wide procurement policies and directives; advice on procurement laws, legislation, and opinions issued by the courts and GAO; appointment of contracting officers; certification of Departmental compliance with procurement laws; approval of procurement training for Department personnel and other activities undertaken by the Office of Procurement Executive. Documents include memoranda, telegrams, letters, airgrams, reports, position papers, certificates, copies of contracts, computer

printouts, standard forms and other related material.

Disposition: Cut off when 5 years old. Destroy when 7 years old.

DispAuthNo: N1-59-93-45, item 1 **Date Edited:** 4/1/1999

A-06-004-02a Organization Files

Description: Arranged by organization name, thereunder by subject or case - Telegrams,

airgrams, memoranda, delegation lists, meeting agendas and minutes, policy and position papers, agreements, talking points, resolutions, reports, case files, and reference material documenting the Department's interest or participation in Federal agency boards, councils, committees, or other bodies that address procurement

concerns (e.g. CAAC, IPCMC).

a. Subject Files.

Disposition: Cut off when 1 year old. Destroy when 7 years old.

DispAuthNo: N1-59-93-45, item 2a **Date Edited:** 4/1/1999

A-06-004-02b(1) **Organization Files**

Description: Arranged by organization nam, thereunder by subject or case - Telegrams,

airgrams, memoranda, delegation lists, meeting agendas and minutes, policy and position papers, agreements, talking points, resolutions, reports, case files, and reference material documenting the Department's interest or participation in Federal agency boards, councils, committees, or other bodies that address procurement

concerns (e.g. CAAC, IPCMC).

b. Case Files.

(1) Cases of interest to the Department.

Disposition: Destroy 3 years after case is closed.

DispAuthNo: N1-59-93-45, item 2b(1) **Date Edited:** 4/1/1999

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A-06-004-02b(2) **Organization Files**

Description:

Arranged by organization nam, thereunder by subject or case - Telegrams, airgrams, memoranda, delegation lists, meeting agendas and minutes, policy and position papers, agreements, talking points, resolutions, reports, case files, and reference material documenting the Department's interest or participation in Federal agency boards, councils, committees, or other bodies that address procurement concerns (e.g. CAAC, IPCMC).

b. Case Files.

(2) All Other Cases.

Disposition: Destroy 1 year after case is closed.

DispAuthNo: N1-59-93-45, item 2b(2) **Date Edited:** 4/1/1999

A-06-004-03 Executed Contracts

Description: Transaction case files on contracts, including such forms as initiating requisitions,

invitations to bid, specifications, bids, bid abstracts, contract provisions, certificates

of award, advice on miscellaneous obligations, and related correspondence.

Disposition: Place in inactive file on final payment and transfer to RSC after 2 years. Destroy 6

years and 3 months after final payment.

DispAuthNo: GRS 3, item 3a(1) **Date Edited:** 4/1/1999

A-06-004-04a **Contract Appeals Case Files**

Description: Contract appeal case files arising under the Contracts Dispute Act, consisting of

notices of appeal and acknowledgements thereof; correspondence between parties; copies of contracts, plans, specifications, exhibits, change orders and amendments; transcripts of hearings; documents received from parties concerned; final decisions;

and all other related papers.

a. Records created prior to October 1, 1979.

Disposition: Destroy 6 years and 3 months after final action on decision.

DispAuthNo: GRS 3, item 15a **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-004-04b **Contract Appeals Case Files**

Description:

Contract appeals case files arising under the Contracts Dispute Act, consisting of notices of appeal and acknowledgements thereof; correspondence between parties; copies of contracts, plans, specifications, exhibits, change orders and amendments; transcripts of hearings; documents received from parties concerned; final decisions; and all other related papers.

b. Records created after September 30, 1979.

Disposition: Destroy 1 year after final action on decision.

DispAuthNo: GRS 3, item 15b **Date Edited:** 4/1/1999

A-06-004-05 Grant Administrative Files

Description: Correspondence and/or subject files relating to routine operations and daily

activities in administration of the grant program.

Disposition: Destroy when 2 years old.

DispAuthNo: GRS 3, item 14 **Date Edited:** 4/1/1999

A-06-004-06 Chronological Files

Description: Extra copies of correspondence, memoranda, and telegrams maintained as an

office-wide drop file.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-93-45, item 4 **Date Edited:** 4/1/1999

A-06-004-07 Federal Procurement Data System (FPDS) Reports

Description: Files maintained by fiscal year quarters, containing correspondence, telegrams,

airgrams, memoranda, reports, source documents, computer printouts and other papers regarding all procurements exceeding \$25,000 and consisting of information required for transfer to the FPDS. Reports contain Individual Contract Action Report (SF-279); Summary Contract Action Report (SF-281); Contract Report Exceeding \$150,000; Report of Total Procurement; Contractor Officer Code Report and related

materials.

Disposition: Cut off in FY quarters. Destroy when 5 years old.

DispAuthNo: GRS 3, item 3d **Date Edited:** 4/1/1999

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A-06-004-08 World-wide Procurement Database System (WWPD) Files

Description: Correspondence, telegrams, airgrams, memoranda, reports, source documents,

computer printouts and other papers that document the inception, principal development, projects, requests for data and other information related to the

WWPD created in 1991.

Disposition: Cut off when 1 year old. Destroy when 5 years old.

DispAuthNo: GRS 3, item 3d **Date Edited:** 4/1/1999

A-06-004-09 World-wide Procurement Database System (WWPD)

Description: An electronic information system arranged by fiscal year, containing data on DOS

procurement exceeding \$25,000 and other data required under 48 CFR 4.601 for

transfer to FPDS.

Disposition: Delete data when 10 years old.

DispAuthNo: N1-59-93-45, item 3 **Date Edited:** 4/1/1999

A-06-004-10 Procurement Career Management Information System (PCMI) Files

Description: Correspondence, telegrams, airgrams, memoranda, reports, source documents,

computer printouts and other papers that document individual cases updated in the

PCMI system created in 1993. Includes DS-1911, Individual Development

Information Sheet which forms the basis of the PCMI database.

Disposition: Destroy on separation or transfer of employee.

DispAuthNo: GRS 1, item 6 Date Edited: 4/1/1999

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Logistics Operations

A-06-005-01 Logistics Files - Arranged by TAGS and Terms

Description: Correspondence, memorandums, telegrams, and other documentation on Logistics

covering automated data processing, circuits, communications, components, contracts, couriers, equipment, exchanges, information management systems, inventory control, logistical support, maintenance, management, material

management, networks, operations, programs, property accountability, provisions, purchasing, quality assurance, receiving, shipment, specifications, spare parts, supplies to be appropriately and other related subjects.

supplies, telecommunications, transportation, and other related subjects.

Disposition: Cut off at end of each calendar year. Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 55 **Date Edited:** 4/1/1999

A-06-005-02 Procurement Files - Arranged by requisition number

Description: Request for Services, Supplies, and Equipment (DS-1869) (also called an IMR),

Requisition for Equipment, Supplies, and Furniture, Etc. (DS-1089-A), telegrams, and other documentation on procurement covering amendments, bin 3 reports, Blanket Purchase Agreements (BPA), Collect-On-Delivery (C.O.D.), electronic transfers, equipment, General Services Administration (GSA), Military Standard Requisitioning and Issue Procedures (MILSTRIP), preparations, procurement, purchase orders, repair parts, requests, requisitions, services, supplies, and other

related subjects.

Disposition: Destroy when 2 years old.

DispAuthNo: N1-59-95-4, item 56 **Date Edited:** 4/1/1999

A-06-005- Material Management - Receiving Files 03a

Description: Documentation on receiving of quick in/out supplies covering closed Orders, the

General Services Administration (GSA), inspection reports, Military Standard Requisitioning and Issue Procedures (MILSTRIP), open orders, receiving report,

supplies, and other related subjects.

a. Flat Files. Arranged by order numbers.

Disposition: Destroy 2 years after placed in inactive file.

DispAuthNo: N1-59-95-4, item 57a **Date Edited:** 4/1/1999

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A-06-005-03b **Material Management - Receiving Files**

Description:

Requisition for Equipment, Supplies, and Furniture, Etc. (DS-1089-A), Order for Supplies and Services (DD-250), Material Inspection and Receiving Report (DD-1155), telegrams, and other documentation on items posts have ordered covering materials, purchase orders, receiving, services, supplies, and other related subjects.

b. Post Orders Files. Arranged by purchase order numbers.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 57b **Date Edited:** 4/1/1999

A-06-005-03c Material Management - Receiving Files

Description: Telegrams, and other documentation on items posts have received covering data,

inventories, packing lists, receiving and inspections reports, and other related

subjects.

c. Post Orders Received Files. Arranged by post.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 57c **Date Edited:** 4/1/1999

A-06-005-04 Material Management - Warehouse Files

Description: Documentation on warehouse items covering pick tickets, packing, preparations,

shipping, transactions, and other related subjects.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-95-4, item 58 **Date Edited:** 4/1/1999

A-06-005-05a **Material Management - Customer Service Files**

Description: Telegrams, and other documentation on customer service covering digital,

equipment, radios, repairs, replacement, telephones, and other related subjects.

a. Post Customer Service Files. Arranged by post.

Disposition: Destroy when 3 years old.

DispAuthNo: Date Edited: 4/1/1999

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A-06-005-05b **Material Management - Customer Service Files**

Description:

Correspondence, forms, and other documentation on customer service covering blanket purchase arrangements (BPA), notes, packing lists, price lists, repairs, and

other related subjects.

b. Customer Service Files.

Disposition: Destroy when 2 years old.

DispAuthNo: N1-59-95-4, item 59b

Date Edited: 4/1/1999

A-06-005-06a **Material Management - Dispatching Files**

Description: a. Dispatching - Invoice Files. Copies of invoices on equipment and services.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-95-4, item 60a **Date Edited:** 4/1/1999

A-06-005-06b **Material Management - Dispatching Files**

Description: b. Dispatching - Government Bill of Lading Files. U.S. Government Bill of Lading

(SF-1103).

Disposition: Destroy when 2 years old.

DispAuthNo: N1-59-95-4, item 60b **Date Edited:** 4/1/1999

A-06-005-06c **Material Management - Dispatching Files**

Description: Documentation on dispatches to posts covering sheets, packing documents, pick

tickets, shipments, work sheets, and other related subjects.

c. Dispatching - Post Documents Files. Arranged by post.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 60c **Date Edited:** 4/1/1999

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A-06-005-06d **Material Management - Dispatching Files**

Description:

Telegrams and other documentation on shipments to posts covering delivery and

shipping arrangements.

d. Dispatching - Post Telegram Files. Arranged by post.

Disposition: Destroy when 2 years old.

DispAuthNo: N1-59-95-4, item 60d **Date Edited:** 4/1/1999

A-06-005-07 Contract Coordination Files

Description: Copies of contracts, correspondence, memorandums, telegrams, and other

documentation on requests for services type contracts.

Disposition: Destroy 2 years after the contract is closed.

DispAuthNo: N1-59-95-4, item 61 **Date Edited:** 4/1/1999

A-06-005-08a **Operations - Management Information Systems Files**

Description: Requisition for Equipment, Supplies, and Furniture, Etc. (DS-1089A), Request for

Services, Supplies, and Equipment (DS-1869); memorandums; telegrams; and other related documentation on Bin 3 Reports, communications equipment, control numbers, data, equipment, move ticket, pick ticket, processing, purchase orders, receiving report, requests, spare parts, status of shipment, stock availability,

supplies, vouchers, and other related subjects.

a. Pending Status and Completed Files. Arranged by control number.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 62a **Date Edited:** 4/1/1999

A-06-005-08b **Operations - Management Information Systems Files**

Description: Copies of Requisition for Equipment, Supplies, and Furniture, Etc. (DS-1089A).

b. Purchase Order Files. Arranged by purchase order number.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 62b **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-005-09a **Operations - Worldwide Property Accountability Files**

Description:

Correspondence, memorandum, telegrams, and other related documentation on

domestic and posts inventories covering equipment and supplies.

a. Inventory Files. Arranged by post or domestic office.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 63a **Date Edited:** 4/1/1999

A-06-005-09b **Operations - Worldwide Property Accountability Files**

Description: Telegrams on post property covering accountability, equipment, movement of

equipment, pick tickets, property, Test and Installation Sheet, and other related

subjects.

b. Movement Inventory Files. Arranged by post.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 63b **Date Edited:** 4/1/1999

A-06-005-10a **Operations - Inventory Management Files**

Description: Annual Inventory Report of Equipment, Request for Services, Supplies, and

Equipment (DS-1869), telegrams, and other documentation on equipment, excess

property inventories, part numbers, research, and other related subjects.

a. Stock Log Purge.

Disposition: Destroy when 2 years old.

DispAuthNo: N1-59-95-4, item 64a **Date Edited:** 4/1/1999

A-06-005-10b **Operations - Inventory Management Files**

Description: Annual Inventory Report of Equipment, Request for Services, Supplies, and

Equipment (DS-1869), telegrams, and other documentation on equipment, excess

property inventories, part numbers, research, and other related subjects.

b. All other items.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 64b **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Facilities Management Services

A-06-006-01 General Services Policy and Procedures File

Description: Consists of memoranda, studies and reports on significant plans, decisions and

problems concerning the buildings management program.

Disposition: Permanent. Retire to RSC after 5 years.

DispAuthNo: RRP, item 7a **Date Edited:** 4/1/1999

A-06-006-02 President's Guest House Files

Description: Consists of plans, blueprints and related records.

Disposition: Permanent. Retire to RSC after 5 years.

DispAuthNo: RRP, item 7b **Date Edited:** 4/1/1999

A-06-006-03 General Services Administrative Correspondence Files

Description: Consists of correspondence and related records pertaining to the administration of

the General Services function not otherwise provided for in this schedule.

Disposition: Destroy when 2 years old.

DispAuthNo: GRS 11, item 1 **Date Edited:** 4/1/1999

A-06-006-04 Space Management Files

Description: Consists of correspondence, reports, forms and floor plans relating to the allocation,

utilization and release of space; and related reports to GSA for domestic field offices

and all Washington, D.C. area space (Main State and all Annexes).

Disposition: Destroy when 2 years old.

DispAuthNo: GRS 11, item 1 Date Edited: 7/27/2005

A-06-006-05 Building Plan Files

Description: Includes floor plans, layouts, tracings, etc.

Disposition: Destroy 2 years after termination of space assignment or when lease is canceled,

or when plans are superseded or obsolete.

DispAuthNo: NN-171-66, item 5 **Date Edited:** 7/27/2005

Chapter 06: Bureau of Administration Records

A-06-006-10 Building Services - General Correspondence File

Description:

Consists of correspondence, forms and reports on moving, parking, telephone and

other building services.

Disposition: Destroy when 2 years old.

DispAuthNo: GRS 11, item 1 Date Edited: 7/27/2005

A-06-006-11 Building Services - GSA Job Order File

Description:

Includes Request for Services (OF-263 replaces DS-1659), form letter Request to

GSA for Cost Estimates, Job Order to GSA (GSA Form 1354) and related

correspondence.

Disposition: Destroy 3 months after work performed or job is canceled.

DispAuthNo: NN-171-66, item 7 **Date Edited:** 7/27/2005

A-06-006-12 Building Services - Telephone Order File

Description:

Includes Request for Telephone Service (OF-263), Order to FMSS for Telephone

Service (SF-145) and related correspondence.

Disposition: Destroy when 6 months old.

DispAuthNo: NN-171-66, item 8 **Date Edited:** 7/27/2005

A-06-006-13 Building Services - Diplomatic Functions Area Control Files

Description: Consists of correspondence, forms and schedules pertaining to the use and control

of the Diplomatic Functions Area. Also includes monthly use reports that identify the

type of event, the host, caterers, etc.

Disposition: TEMPORARY: Hold in current file area and dstroy when five (5) years old. (Ref.

NN-171-66, item 9)

DispAuthNo: N1-59-03-10, item 3 **Date Edited:** 4/15/2005

A-06-006-14 Building Services - Operation Support Correspondence File

Description: Contains correspondence, forms and schedules pertaining to the use and control of

all operations support, including but not limited to: auditoriums and conference

rooms, parking and flag request.

Disposition: TEMPORARY: Cutoff at end of quarter. Delete/destroy one (1) year after cutoff.

(Ref. NN-171-66, item 10)

DispAuthNo: N1-59-03-10, item 5 **Date Edited:** 4/15/2005

Chapter 06: Bureau of Administration Records

A-06-006-15 Building Services - Statistical Reports

Description: a. Daily reports concerning activities of the office.

Disposition: TEMPORARY: Cutoff at end of month. Delete/Destroy one (1) month after cutoff.

(Ref. NN-171-66, item 11)

DispAuthNo: N1-059-03-10, item 4a **Date Edited:** 6/28/2007

A-06-006-15a **Building Services - Statistical Reports**

Description: b. Monthly reports concerning activities of the office.

Disposition: TEMPORARY: Cutoff at end of quarter. Delete/Destroy one (1) year after cutoff.

(Ref. NN-171-66, item 11)

DispAuthNo: N1-059-03-10, item 4b **Date Edited:** 6/28/2007

A-06-006-15b **Building Services - Statistical Reports**

Description: c. Annual reports concrning the activities of the office.

Disposition: TEMPORARY: Cutoff at end of calendar year. Delete/Destroy five (5) years after

cutoff. (Ref. NN-171-66, item 11)

DispAuthNo: N1-059-03-10, item 4c **Date Edited:** 6/28/2007

A-06-006-20 Motor Transportation - General Correspondence Files

Description: Correspondence and related data pertaining to the maintenance and

operation of motor vehicles, not otherwise provided for in this schedule.

Disposition: Destroy when 2 years old.

DispAuthNo: NN-171-66, item 12 **Date Edited:** 6/28/2007

A-06-006-21 Motor Transportation - Motor Vehicle Maintenance and Repair File

Description: Consists of automotive maintenance and repair bills arranged by vendor and by

vehicle number.

Disposition: Destroy when 1 year old.

DispAuthNo: NN-171-66, item 13 **Date Edited:** 4/1/1999

A-06-006-22 Motor Transportation - Imprest Fund Records

Description: Includes vouchers and supporting documents.

Disposition: Destroy when 1 year old.

DispAuthNo: NN-171-66, item 14 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-006-

Motor Transportation - Motor Vehicle Operating Reports and Statistics

23a

Description: a. Daily Vehicle Report.

Disposition: Destroy when 3 months old.

DispAuthNo: NN-171-66, item 15a **Date Edited:** 4/1/1999

A-06-006-23b Motor Transportation - Motor Vehicle Operating Reports and Statistics

Description: b. Daily and Monthly Operating Statistics.

Disposition: Destroy when 1 year old.

DispAuthNo: NN-171-66, item 15b **Date Edited:** 4/1/1999

A-06-006-24 Motor Transportation - Gasoline and Car Wash Tickets and Related Paid

Invoices -

Description:

Disposition: Destroy when 1 year old.

DispAuthNo: NN-171-66, item 16 **Date Edited:** 4/1/1999

A-06-006-25 Motor Transportation - Vehicle Accident File

Description: Includes statements of drivers, witnesses and investigating officers pertaining to

motor vehicle accidents and all related reports.

Disposition: Destroy 3 years after accident or after claim is settled.

DispAuthNo: NN-171-66, item 17 **Date Edited:** 4/1/1999

A-06-006-30 Warehousing - Completed Order File

Description: Consists of copy of purchase order or requisition, Bills of Lading, Delivery Receipts

and DS-41, Delivery Slip.

Disposition: Destroy when 1 year old.

DispAuthNo: NN-171-66, item 18 **Date Edited:** 4/1/1999

A-06-006-31 Warehousing - Receiving and Inspection Report

Description: JF-22 or the like

Disposition: Destroy when 6 months old.

DispAuthNo: NN-171-66, item 19 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-006-

32a

Technical Services - Audio Visual Project Files

Description:

Background and completed work on all projects including request for service, freehand illustrations, charts, mechanical drawings, models, maps, photographic negatives and prints, cost record and summary project card.

a. Projects of a substantive or historical nature involving considerable or extensive research and art work, and projects of a continuing nature.

Disposition: Retain.

DispAuthNo: II-NN-3561, item 1a Date Edited: 4/1/1999

A-06-006-32b **Technical Services - Audio Visual Project Files**

Description: Background and completed work on all projects including request for service,

freehand illustrations, charts, mechanical drawings, models, maps, photographic

negatives and prints, cost record and summary project card.

b. Projects of a substantive or historical nature that are of no further administrative

value following their completion.

Disposition: Destroy 1 year after project completed.

DispAuthNo: 11-NN-3561, item 1b **Date Edited:** 4/1/1999

A-06-006-33 Technical Services - Project Card Record

Description: Master Card Index to all projects - arranged numerically by project number with

cross index by area or office serviced and subject matter of project.

Disposition: Retain indefinately

DispAuthNo: II-NN-3561, item 2 **Date Edited:** 4/1/1999

A-06-006-34 Technical Services - Project Working Files

Description: Working files relating to processing of projects including project distribution sheets.

Disposition: Destroy when 3 years old.

DispAuthNo: II-NN-3561, item 3 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-006-35a(1) **Technical Services - Foreign Affairs Photograph Collection**

Description:

1. Negatives

a. Black and White Negatives

Negatives relating to the foreign affairs of the U.S., high level or significant State Department personnel, and foreign officials. Arrange chronologically or numerically

by date photograph is made and job number.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 1a **Date Edited:** 4/1/1999

A-06-006-35a(2) **Technical Services - Foreign Affairs Photograph Collection**

Description: 1. Negatives

b. Color Negatives

Negative relating to the foreign affairs of the U.S., high level or significant State Department personnel, and foreign officials. Arrange chronologically or numerically

by date photograph is made and job number.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 1b **Date Edited:** 4/1/1999

A-06-006-35a(3) **Technical Services - Foreign Affairs Photograph Collection**

Description: 1. Negatives

c. Negatives of routine award ceremonies, social events, activities, not related to the mission of the Department, personnel identification or passport images, and any

other routine administrative or personnel related images not related to the

Department's mission.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-92-18, item 1c **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-006-35b(1) **Technical Services - Foreign Affairs Photograph Collection**

Description:

2. Photographic Prints - Personality File.

a. Photographs of State Department officials, including swearing-in ceremonies, other government officials (e.g. presidential, cabinet, or other agency head level visitors), and foreign diplomats and dignitaries. Arrange in alphabetical order by

name of individual

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 2a **Date Edited:** 4/1/1999

A-06-006-35b(2) **Technical Services - Foreign Affairs Photograph Collection**

Description: 2. Photographic Prints - Personality File.

b. 35 mm contact or proof sheets related to the item 2a.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 2b **Date Edited:** 4/1/1999

A-06-006-35b(3) **Technical Services - Foreign Affairs Photograph Collection**

Description: 2. Photographic Prints - Personality File.

c. Routine photographs of State Department personnel, passport photographs or

personnel related images not related to the Department's mission.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-92-18, item 2c **Date Edited:** 4/1/1999

A-06-006-35c(1) **Technical Services - Foreign Affairs Photograph Collection**

Description: 3. Photographic Prints - Subject File.

a. Photographs of mission related activities of State Department officials and staff, and foreign affairs activities of the U.S. in Washington, D.C. and around the world. Arrange alphabetically by primary subject headings and thereunder geographically

by location.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 3a **Date Edited:** 4/1/1999

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A-06-006-35c(2)

Technical Services - Foreign Affairs Photograph Collection

Description:

3. Photographic Prints - Subject File.

b. 35 mm contact or proof sheets related to the item 3a.

Disposition:

Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

N1-59-92-18, item 3b DispAuthNo:

4/1/1999 Date Edited:

A-06-006-35c(3)

Technical Services - Foreign Affairs Photograph Collection

Description: 3. Photographic Prints - Subject File.

c. Photographs prints of routine award ceremonies, social events or activities

unrelated to the mission of the Department.

Disposition: Destroy when no longer needed.

4/1/1999 DispAuthNo: N1-59-92-18, item 3c Date Edited:

A-06-006-35d(3)

Technical Services - Foreign Affairs Photograph Collection

Description: 4. Slides

> c. Black and White, and Color slides of routine award ceremonies, social events, activities not related to the mission of the agency, personnel identification or

passport images, and any other routine administration or personnel related images

not related to the Department's mission.

Disposition: Destroy when no longer needed.

Date Edited: DispAuthNo: N1-59-92-18, item 4c 4/1/1999

A-06-006-35d1(a)

Technical Services - Foreign Affairs Photograph Collection

Description: 4. Slides

a. Black and White

a-1 Subject file. Foreign affairs activities of the U.S. in Washington, D.C. and around the world. Arrange alphabetically by primary subject headings and

thereunder geographically by location.

Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in Disposition:

the block and transfer immediately to NARA.

4/1/1999 DispAuthNo: N1-59-92-18, item 4a(1) Date Edited:

Chapter 06: Bureau of Administration Records

A-06-006-35d1(b) **Technical Services - Foreign Affairs Photograph Collection**

Description:

4. Slides

a. Black and White

a-2 Personality File. State Department officials and foreign diplomats and officials.

Arranged in alphabetical order by name of individual.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 4a(2) **Date Edited:** 4/1/1999

A-06-006-35d2(a) **Technical Services - Foreign Affairs Photograph Collection**

Description: 4. Slides

b. Color

b-1 Subject file. Foreign affairs activities of the U.S. in Washington, D.C. and around the world. Arrange alphabetically by primary subject headings and

thereunder geographically by location.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 4b(1) **Date Edited:** 4/1/1999

A-06-006-35d2(b) **Technical Services - Foreign Affairs Photograph Collection**

Description: 4. Slides

b. Color

b-2 Personality File. State Department officials and foreign diplomats and officials.

Arranged in alphabetical order by name of individual.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 4b(2) **Date Edited:** 4/1/1999

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A-06-006-35e(1) **Technical Services - Foreign Affairs Photograph Collection**

Description:

Posters

a. Posters related to the mission of the Department.

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 5a

Date Edited: 4/1/1999

A-06-006-35e(2) **Technical Services - Foreign Affairs Photograph Collection**

Description: 5. Posters

b. Routine posters unrelated to the mission of the Department.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-92-18, item 5b

Date Edited: 4/1/1999

4/1/1999

A-06-006-35f(1) **Technical Services - Foreign Affairs Photograph Collection**

Description: 6. Indexes

a. Personality Index

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

Technical Services - Foreign Affairs Photograph Collection

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 6a **Date Edited:**

A-06-006-

,

35f(2)

Description: 6. Indexes

b. Subject Index

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA.

DispAuthNo: N1-59-92-18, item 6b **Date Edited:** 4/1/1999

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A-06-006-

Technical Services - Foreign Affairs Photograph Collection

35f(3)

Description: 6. Indexes

c. Numerical Index (Shelf-list)

Disposition: Permanent. Arrange in 5-year blocks. Retire to RSC 5 years after the last year in

the block and transfer immediately to NARA

DispAuthNo: N1-59-92-18, item 6c **Date Edited:** 4/1/1999

Language Services

A-06-007-01 Case Files on Contract Interpreters and Translators

Description: Copies of language services contracts, test papers, letters of inquiry and reply, and

papers regarding the experience, work record, suitability, and clearance of each

person under contract to perform interpreting and translating service.

Disposition: Destroy 5 years after expiration of contract.

DispAuthNo: NN-166-68, item 1 **Date Edited:** 4/1/1999

A-06-007-02 Requests for Translation Service

Description: Form DS-434 or similar forms used for this purpose.

Disposition: Destroy when 2 years old.

DispAuthNo: II-NNA-2044, item 1 **Date Edited:** 4/1/1999

A-06-007-03 Transcripts

Description: One copy of all transcripts taken at official meetings held in the Department at which

government officials from other agencies and/or educational and commercial institutions participate. These transcripts will include all security classifications, and cover varied subject matters, e.g., United Nations Educational, Curtural and

Scientific Organization Conferences, and foreign policy discussions.

Disposition: Permanent. Retire Master set to RSC after 2 years; destroy all other copies when

no longer needed for distribution.

DispAuthNo: 352-S-173, item 1 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Diplomatic Mail and Pouch

A-06-008-01a(1) **Diplomatic Mail and Pouch Operations Files**

Description:

Telegrams, and other documentation on airline routes and schedules, mail and pouch operation covering air an surface transportation, Customs Service, costs, guidelines, interagency agreements, interagency liaison, mail, military postal services, policies, pouches, pouch services, printing, projects, routes, supplies, transportation, transportation requests, U.S. Postal Service (USPS), and other related subjects.

a. Subject Files.

(1) Interagency Agreements.

Disposition: Cut off at end of each calendar year. Destroy 2 years after agreement has been

terminated.

DispAuthNo: N1-59-95-4, item 69a(1) **Date Edited:** 4/1/1999

A-06-008-01a(2) **Diplomatic Mail and Pouch Operations Files**

Description: Telegrams, and other documentation on airline routes and schedules, mail and

pouch operation covering air an surface transportation, Customs Service, costs, guidelines, interagency agreements, interagency liaison, mail, military postal services, policies, pouches, pouch services, printing, projects, routes, supplies, transportation, transportation requests, U.S. Postal Service (USPS), and other

related subjects.

a. Subject Files.

(2) All other material.

Disposition: Cut off at end of each calendar year. Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 69a(2) **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-008-01b

Diplomatic Mail and Pouch Operations Files

Description:

Telegrams, and other documentation on airline routes and schedules, mail and pouch operation covering air an surface transportation, Customs Service, costs, guidelines, interagency agreements, interagency liaison, mail, military postal services, policies, pouches, pouch services, printing, projects, routes, supplies, transportation, transportation requests, U.S. Postal Service (USPS), and other

related subjects.

b. Post Files.

Disposition: Destroy when 3 years old or when no longer needed whichever is longer. (ref. II-

NN-3607, item 4)

DispAuthNo: N1-59-95-4, item 69b Date Edited: 4/1/1999

Diplomatic Mail and Pouch Files - Classified and Unclassified A-06-008-02

Description: Correspondence, telegrams, reports, printouts, and other documentation on mail

and pouches covering computer room operations, contract services, flight schedules, gas receipts, missing pouches, policies, pouches, prohibited items, receipts, schedules, statistics, tracing actions, transfers, and other related subjects.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 70 Date Edited: 4/1/1999

A-06-008-03 Courier Pouch Invoice - DS-23A

Description: form

a. Pink coy

b. Yellow copy

Disposition: Destroy when 2 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 71a&b Date Edited: 6/29/2007

A-06-008-04a

Diplomatic Pouch Mail Registration - OF-120

Description: a. Electronic-Receipt System data.

Disposition: Destroy when 2 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 72a Date Edited: 4/1/1999

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A-06-008-

Diplomatic Pouch Mail Registration - OF-120

04b

Description: b. Paper.

Disposition: Destroy when 2 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 72b **Date Edited:** 4/1/1999

A-06-008-05a Pouch Invoice - OF-244

Description: a. Electronic-Receipt System data.

Disposition: Destroy when 3 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 73a **Date Edited:** 4/1/1999

A-06-008-05b Pouch Invoice - OF-244

Description: b. Paper.

Disposition: Destroy when 3 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 73b(1) **Date Edited:** 4/1/1999

A-06-008-06a **Diplomatic Pouch Certification and Receipt - OF-253**

Description: a. Electronic-Receipt System data.

Disposition: Destroy when 3 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 74a **Date Edited:** 4/1/1999

A-06-008-06b Diplomatic Pouch Certification and Receipt - OF-253

Description: b. Paper.

Disposition: Destroy when 3 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 74b(1) **Date Edited:** 4/1/1999

A-06-008-07 Receipt for Registered Mail - DS-454

Description:

Disposition: Destroy when 2 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 75a **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-008-08 Registered Mail Invoice

Description: Registered mail receipts (Forms DS-454 and DS-697) and registered mail invoices

(Form DS-712) covering classified material received from outside sources.

Disposition: Destroy when 2 years old. (ref. 352S326, item 6)

DispAuthNo: II-NNA-2409, item 5 **Date Edited:** 4/1/1999

A-06-008-09 Receipt Manifest - DS-794

Description:

Disposition: Destroy when 1 year old.

DispAuthNo: GRS 12, item 6a **Date Edited:** 4/1/1999

A-06-008-10 Air Cargo Transportation Request - DS-1035

Description:

Disposition: Destroy 6 years after period of account.

DispAuthNo: GRS 9, item 1a **Date Edited:** 4/1/1999

A-06-008-11 Manifold Registry Dispatch Book - PS-3854

Description:

Disposition: Destroy when 3 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 77 **Date Edited:** 4/1/1999

A-06-008-12 Firm Mailing Book - PS-3877

Description:

Disposition: Destroy when 3 years old. (ref. II-NN-1794, item 1)

DispAuthNo: N1-59-95-4, item 78 **Date Edited:** 4/1/1999

A-06-008-13 U.S. Customs Service Letter and Receipt

Description:

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 79 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Overseas Schools Program

A-06-009-01 Reserved for future use

Description:

Disposition:

DispAuthNo: Reserved Date Edited: 4/1/1999

A-06-009-02 Overseas Schools Advisory Council Records

Description: Consists of correspondence, reports and related records pertaining to the

organization, operation and personnel of the council and

records of its meetings.

Disposition: Permanent. Retire to RSC after 5 years.

DispAuthNo: NN-171-130, item 1 **Date Edited:** 4/1/1999

A-06-009-03a **Overseas Schools Country Files**

Description: a. General files consisting of correspondence, post reports, publications about

schools and general background reference material relating to each geographic

area.

Disposition: TEMPORARY: Destroy correspondence when 3 years old; destroy reference

material when it is of no further reference value. (NN-171-130, item 2a)

DispAuthNo: N1-59-01-16, item 1a **Date Edited:** 10/5/2001

A-06-009-

03b

Overseas Schools Country Files

Description: b. Grant Files, consisting of a copy of the Grant Agreement, Financial Reports (JF-

43), copies of vouchers for payment and related correspondence.

Disposition: TEMPORARY: Destroy 3 years after termination of the grant.

DispAuthNo: NN-171-130, item 2b **Date Edited:** 5/9/2001

A-06-009-

03c

Overseas Schools Country Files

Description: b. Electronic Mail and Word Processing System Records. Records created on

electronic mail and word processing systems, and used to generate recordkeeping copies of documents covered by other items on this schedule. Also includes

electronic records maintained for updating, revision, or dissemination.

Disposition: TEMPORARY: Destroy 180 days after recordkeeping copy is generated.

DispAuthNo: N1-59-01-16, item 1b **Date Edited:** 10/5/2001

Chapter 06: Bureau of Administration Records

A-06-009-04 Overseas Schools Questionnaire (FS-573, 573a and 574) Files

Description: Consists of questionnaires and related correspondence, memorandums, statistical

data, program statements, etc.

Disposition: TEMPORARY: Destroy when 3 years old.

DispAuthNo: NN-171-130, item 3 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Supply and Transportation

A-06-011-01 General Subject Files - General

Description: Master file of reports, surveys, agreements, GSA and other Federal

regulations and procedures, and other such data showing inception and scope of

the supply management program.

Disposition: Retire to RSC after 5 years.

DispAuthNo: II-NN-3250, item 6 Date Edited: 4/1/1999

A-06-011-02 Supply Management Project File - General

Description: Consists of copies of reports, studies and analyses on supply management projects

with supporting papers documenting project inception, scope, procedure and

accomplishment.

Disposition: Permanent. Retire to RSC after 5 years.

DispAuthNo: II-NN-3250, item 65 **Date Edited:** 4/1/1999

A-06-011-03 Bills of Lading File - General

Description: U.S. Government Bills of Lading, Certificates in lieu of Lost U.S.

Government Bills of Lading, airway and railroad freight bills, with related

correspondence including claims pertaining to the packing and trucking of domestic and overseas supplies and equipment and the overseas shipping of official goods

by common carrier.

Disposition: Destroy records relating to claims 2 years after settlement of claim; destroy all

other records when 3 years old. (ref. 352-S173, item 26)

DispAuthNo: II-NN-3250, item 13 **Date Edited:** 4/1/1999

A-06-011-04 Bills of Lading Register - General

Description: Control register showing GBL number, date of issue, requisition number, and

division, shipping points, method of shipment, and name of procurement agent.

Disposition: Destroy 6 years after the period of the account.

DispAuthNo: GRS 9, item 1a **Date Edited:** 4/1/1999

A-06-011-05 Records on Loss or Damage of Property Shipped Overseas - General

Description: Copies of post reports such as Report of Damaged or Missing Articles in Shipment

of Supplies and Equipment (FS-434), and related correspondence pertaining to Departmental action taken to settle claims for reimbursement or replacement by

vendor, packer or shipper.

Disposition: Retire inactive files 1 year after settlement; destroy 3 years after settlement of

claim.

DispAuthNo: II-NN-3250, item 68 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-011-10 Executed Contracts - Procurement

Description: Transaction case files on contracts, including such forms as initiating requisitions,

invitations to bid, specifications, bids, bid abstracts, contract provisions, certificates

of award, advice of miscellaneous obligations and related correspondence.

Disposition: Place in inactive file on final payment. Transfer to WNRC after 2 years. Destroy 6

years and 3 months after final payment.

DispAuthNo: GRS 3, item 3a(1) Date Edited: 7/12/2007

A-06-011-11 Unsuccessful and No Award Files - Procurement

Description: Folders on contracts canceled before being awarded or a bid accepted, along with

related correspondence.

Disposition: Destroy 3 fiscal years after cancellation.

DispAuthNo: II-NN-3250, item 32 **Date Edited:** 4/1/1999

A-06-011-12 Departmental Purchase Orders - Procurement

Description: Documents such as requisitions (OF-263 replaces DS-1659), purchase orders (DS-

1089), specifications, bid abstracts, vouchers for petty purchases, receipts, copies of requisitions initiated by the Department to GSA, and requests to the Federal

Prison Industries for clearance to purchase from other sources.

Disposition: Destroy 3 years after fiscal year in which prepared.

DispAuthNo: II-NN-3250, item 26 Date Edited: 4/1/1999

A-06-011-13 Foreign Service Purchase Order File - Procurement

Description: Documents such as requisitions (OF-263 replaces DS-1659), purchase orders (OF-

206 replaces FS-455), specifications, bid abstracts, advice of miscellaneous obligations, registers of inter office transfers, packing lists, shipping instructions, receipts, invoices, and copies of requisitions and purchase orders to GSA.

Disposition: Destroy 3 years after fiscal year in which prepared.

DispAuthNo: II-NN-3250, item 27 **Date Edited:** 4/1/1999

A-06-011-14 Narcotic Certificates - Procurement

Description: Requests to Internal Revenue Service for Certification of Exempt Officials-

Narcotics; and U.S. official order form - opium, coca leaves, opiates, etc., for the official purchase of drugs for use in the medical and health programs of the

Department and the Foreign Service.

Disposition: Destroy 3 years after date of issue.

DispAuthNo: II-NN-3250, item 28 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-011-15 Vendors File - Procurement

Description: Includes copy of purchase orders.

Disposition: Destroy after 3 fiscal years.

DispAuthNo: II-NN-3250, item 30 Date Edited: 4/1/1999

A-06-011-16 Requisition Control Card - Procurement

Description: Control records for (a) Foreign Service requisitions of equipment, supplies, furniture

and furnishings; and (b) Departmental requisitions for supplies, equipment and

services.

Disposition: Destroy 2 years after completion or cancellation of requisition.

DispAuthNo: GRS 3, item 9b **Date Edited:** 4/1/1999

A-06-011-17 Off-Schedule Log - Procurement

Description: Record of routine and emergency off schedule requisitions for supplies and

equipment submitted by posts after the regular submission date.

Disposition: Destroy when no longer needed for operating purposes.

A-06-011-18 Procurement Correspondence File - Procurement

Description: Correspondence and reports relating to internal operation and administration of the

procurement program.

Disposition: Destroy when 2 years old.

DispAuthNo: II-NN-3250, item 33 **Date Edited:** 4/1/1999

A-06-011-20 Automotive Fleet Control - Administrative Subject Files

Description: Letters, memoranda, airgrams, reports, copies of forms, printed materials, circulars,

worksheets and other papers on audits, delegations of authority, records disposal,

requisitions, office procedures and other administrative matters.

Disposition: Destroy when 4 years old, or when superseded, obsolete or no longer needed for

current operations.

DispAuthNo: NN-164-51, item 1 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-011-21 Automotive Fleet Control - Country File

Description: General correspondence with posts regarding instructions on vehicles and their

values, automotive operations and maintenance costs, the assignment of vehicles to use, the transmittal of inventories, the replacement cycle, and other subjects

pertaining to the automobiles at each post.

Disposition: Destroy when no longer needed in current operations.

DispAuthNo: NN-164-51, item 2 **Date Edited:** 4/1/1999

A-06-011-22 Automotive Fleet Control - Historical or Precedent File

Description: Circulars, instructions, newspaper clippings, laws and regulations, reports, sample

forms, post communications and memoranda, and other papers that document the inception, policies, scope, principal development, plans, and projects of the

automotive program for the Foreign Service.

Disposition: Retain Permanently

DispAuthNo: NN-164-51, item 3 **Date Edited:** 4/1/1999

A-06-011-23 Automotive Fleet Control - Vehicle Case File

Description: Consists of airgrams, memoranda, purchase orders, advice of obligations,

statement regarding Federal excise tax exemption, communications with the U.S. Dispatch Agency regarding shipping and shipping costs, certificates of award, sales authorization, property transfer record, and other papers pertaining to the purchase,

sale or disposition of individual vehicles.

Disposition: Transfer to RSC three (3) years after sale or disposition of vehicle. Destroy 7

years after sale or disposal of vehicle. (ref. 352-S173, item 18)

DispAuthNo: NN-164-51, item 5 **Date Edited:** 4/1/1999

A-06-011-24 Automotive Fleet Control - Vehicle Inventory Report

Description: Copies of world-wide automotive vehicle inventory reports. Other copies of this

inventory are included in the budget records.

Disposition: Destroy when 2 years old. (ref. 352-S173, item 16)

DispAuthNo: NN-164-51, item 7 **Date Edited:** 4/1/1999

A-06-011-25 Automotive Fleet Control - General Services Administration Invitations to Bid

Description: Copies of GSA Federal Supply Service invitations to bid on vehicles and related

correspondence.

Disposition: Destroy when 2 years old.

DispAuthNo: NN-164-51, item 8 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-011-26 Automotive Fleet Control - Automotive Program Budget Records

Description: Copies of budget calls, estimates, exhibits, working papers and circulars.

a. All records except working papers.

Disposition: Destroy all other records 3 years after close of fiscal year covered by the budget.

(ref. 352-S172, item 7)

DispAuthNo: NN-164-51, item 9a **Date Edited:** 6/29/2007

A-06-011-26a **Automotive Fleet Control - Automotive Program Budget Records**

Description: Copies of budget calls, estimates, exhibits, working papers and circulars.

b. Working papers

Disposition: Destroy when one (1) year old. (ref. 352-S172, item 7)

DispAuthNo: NN-164-51, item 9b **Date Edited:** 6/29/2007

A-06-011-27 Automotive Fleet Control - Administrative Support Records

Description: Includes copies of communications from posts regarding administrative support

agreement estimates, copies of vehicle inventories, and schedules pertaining to

vehicles furnished to or shared with other Government agencies abroad.

Disposition: Destroy 3 years after close of fiscal year covered by the related budget.

DispAuthNo: NN-164-51, item 10 **Date Edited:** 4/1/1999

A-06-011-28 Automotive Fleet Control - Inspection Reports

Description: Excerpts from Foreign Service Inspection Reports, statements to facilitate

inspection, and compliance reports.

Disposition: Destroy when superseded by a later report. (ref. II-NN-3250, item 11)

DispAuthNo: NN-164-51, item 12 **Date Edited:** 4/1/1999

A-06-011-29 Automotive Fleet Control - Standardization Plan Operating Records

Description: Copies of reports on findings and determinations, instructions to Foreign Service

posts, and related communications and reports pertaining to the operation of the

plan for standardizing certain types of vehicles for post use.

Disposition: Destroy upon revision of standardization plan or when no longer needed in current

operations.

DispAuthNo: NN-164-51, item 15 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-011-30 Automotive Fleet Control - Obligation Control Records

Description: Vehicle purchase orders and related advice of obligation.

Disposition: Destroy when 3 years old. (ref. 352-S173, item 27)

DispAuthNo: NN-164-51, item 16 **Date Edited:** 4/1/1999

A-06-011-31 Automotive Fleet Control - Proceeds of Sale Records (Trucks)

Description: Memoranda from Fiscal Services Division attaching copies of sales agreements,

notice of funds deposited in the Deposit Fund Account, with related memoranda,

and requesting advice on the proper disposition of the funds received.

Disposition: Destroy 4 years after vehicle leaves agency custody.

DispAuthNo: GRS 10, item 6 **Date Edited:** 4/1/1999

A-06-011-32 Automotive Fleet Control - Proceeds of Sale Records (Passenger Vehicles)

Description: Memoranda, reports, forms and other papers such as change notices, presenting

identifying data on vehicles sold abroad and the proceeds of sale.

Disposition: Destroy 4 years after vehicle leaves agency custody.

DispAuthNo: GRS 10, item 6 Date Edited: 4/1/1999

A-06-011-33 Automotive Fleet Control - Records of Special Use of Overseas Vehicles

Description:

Disposition: Destroy 2 years after cancellation or voiding of authorization, or closing of post.

DispAuthNo: II-NN-3250, item 67 **Date Edited:** 4/1/1999

A-06-011-40 Expendable Stock Control - Expendable Stock Control Record Card

Description: OF-131, formerly JF-26

Disposition: Destroy 1 year after discontinuance of item or 1 year after stock balance is

transferred to new card.

DispAuthNo: N1-59-96-16, item 2 **Date Edited:** 4/1/1999

A-06-011-41 Expendable Stock Control - Requisition File

Description: Requisitions for supplies, equipment or services.

a. Expendable Stock.

Disposition: Destroy 1 year after issue of item.

DispAuthNo: N1-59-96-16, item 3a **Date Edited:** 6/29/2007

Chapter 06: Bureau of Administration Records

A-06-011-42 Expendable Stock Control - Stock Replenishment File

Description: Consists of requisitioning documents and purchase orders for certain contract items.

Disposition: Destroy 1 fiscal year after receipt of items.

A-06-011-43 Expendable Stock Control - Supply Contracts

Description: Work copies of supply contracts and GSA Contract Schedules.

Disposition: Destroy upon termination of contract.

A-06-011-44 Expendable Stock Control - Printing Samples and Requisitions on the Public

Printer

Description: Includes samples of all forms stocked and issued, with copies of requisitions to the

Public Printer, printing specifications, printing negatives, procurement orders, invoices, transfer of funds vouchers, and circulars or other information pertinent to

their required use.

Disposition: Destroy 3 years after completion or cancellation of requisition.

DispAuthNo: GRS 3, item 6a **Date Edited:** 4/1/1999

A-06-011-45 Expendable Stock Control - Supply Stock Files

Description: Reports and correspondence relating to overseas reproduction of forms;

Department of State Procurement Regulations and Procedures, Department of

State Supply Catalog changes and general supply stock control matters.

Disposition: Destroy when 2 years old.

DispAuthNo: II-NN-3250, item 46 Date Edited: 4/1/1999

A-06-011-46 Expendable Stock Control - Register of Watchclock Repairs

Description: Register of watchclocks returned from posts for repair, maintained to show date of

receipt, post, make of clock, serial number and make of the replacement clock and

date forwarded to post.

Disposition: Destroy after 3 fiscal years.

DispAuthNo: II-NN-3250, item 47 Date Edited: 4/1/1999

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A-06-011-47 Expendable Stock Control - Watchclock Repair Correspondence

Description: Correspondence to and from the clock company to which damaged watchclocks are

sent for repair, including copies of purchase orders issued to cover cost of repair.

Disposition: Destroy after 3 fiscal years.

DispAuthNo: II-NN-3250, item 48 **Date Edited:** 4/1/1999

A-06-011-48 Non-Expendable Property Control - Purchase Orders for Property Control

Description: Consists of work copies of purchase orders, receiving and inspection reports for

partial deliveries, and tally-in sheets for warehouse receipts of new or replacement

stock and non-stock items.

Disposition: Destroy after 3 fiscal years.

DispAuthNo: II-NN-3250, item 49 **Date Edited:** 4/1/1999

A-06-011-49 Non-Expendable Property Control - Departmental Inventory Control Records

Description: Perpetual inventory quality control records of both mechanical devices and office

furniture.

Disposition: Destroy 2 years after discontinuance of item, or 2 years after stock balance is

transferred to a new card.

DispAuthNo: II-NN-3250, item 50 **Date Edited:** 4/1/1999

A-06-011-50 Non-Expendable Property Control - Departmental Non-Expendable Property

Record

Description: Consists of an inventory record card for each safe and office machine, such as DS-

1299 and related record of loss or damage where appropriate.

Disposition: Destroy 2 years after discontinuance of item.

DispAuthNo: GRS 3, item 9b **Date Edited:** 4/1/1999

A-06-011-51 Non-Expendable Property Control - Non-Expendable Property Inventory Files

Description: Inventory Reconciliation File.

a. Documents used in making annual physical inventories. Included are the following reports: Automatic Adjustment Report, Comprehensive Report, Visual Report, Inventory Coverage Report, and Certificate of Inventory Reconciliation

Report (OF-127, OF-132).

Disposition: Destroy 3 complete fiscal years after completion of inventory.

DispAuthNo: N1-59-96-16, item 1a **Date Edited:** 6/29/2007

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A-06-011-52 Non-Expendable Property Control - Requisitions for Stock Equipment

Description: Requests for new or additional office machines or equipment, such as Requisitions

for Supplies, Equipment or Services, signed by receiving office after delivery has

been made.

Disposition: Destroy 2 years after completion of order.

DispAuthNo: II-NN-3250, item 55 **Date Edited:** 4/1/1999

A-06-011-53 Non-Expendable Property Control - Report of Excess Equipment Returned to

Stock

Description: Requisitions requesting pick-up and return to stock of excess equipment and related

control records.

Disposition: Destroy when 2 years old.

A-06-011-54 Non-Expendable Property Control - Repairs File

Description: Daily telephone lists and requisitions for repair services and service receipts.

Disposition: Destroy 2 months after completion of repair.

DispAuthNo: II-NN-3250, item 59 **Date Edited:** 4/1/1999

A-06-011-55 Non-Expendable Property Control - Replacement Program File

Description: Work papers and plans concerning the non-expendable property replacement

program.

Disposition: Destroy when 3 years old.

DispAuthNo: II-NN-3250, item 60 **Date Edited:** 4/1/1999

A-06-011-56 Non-Expendable Property Control - Reports of Excess Personal Property

Description: Reports to GSA on utilization and disposal of excess and surplus personal property.

Disposition: Destroy when 3 years old.

DispAuthNo: II-NN-3250, item 61 **Date Edited:** 4/1/1999

A-06-011-57 Non-Expendable Property Control - Surplus Property Case File

Description: Case files on sales of surplus property consisting of invitations, bids, acceptances,

lists of materials, evidence of sales and related correspondence.

Disposition: Destroy 3 years after final settlement. (ref. 352-S173, item 33)

DispAuthNo: II-NN-3250, item 62 **Date Edited:** 4/1/1999

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A-06-011-58 Non-Expendable Property Control - Property Survey Records

Description: Consists of copies of DS-310, Property Survey Report (Domestic) and related

documents. Report provides accountability for all office equipment and furniture.

a. Supply Branch Copy.

Disposition: Destroy 3 complete fiscal years after disposal of equipment. (ref. II-NN-3250, item

58a)

DispAuthNo: N1-59-96-16, item 4a **Date Edited:** 6/29/2007

A-06-011-59 Non-Expendable Property Control - Receiving File

Description: Receiving reports, copies of purchase orders, transfer documents, requisitions,

shipping notices, bills of lading and other documents accumulated in the receipt of

property after shipping.

Disposition: Destroy 3 complete fiscal years after the final delivery has been made.

DispAuthNo: N1-59-96-16, item 5 **Date Edited:** 4/1/1999

A-06-011-65 Warehouse Operations - Packing and Shipping

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts:

and the packing/shipping of intransit shipments overseas.

Post Shipping File - Case files consisting of working copies of purchase orders, requisitions for supplies, equipment or medicines, packing orders, packing lists, notifications of shipment, duplicate invoices, manifests, dock receipts and related

correspondence.

Disposition: Destroy after 3 fiscal years.

DispAuthNo: II-NN-3250, item G 69 **Date Edited:** 7/13/2007

A-06-011-66 Warehouse Operations - Packing and Shipping

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts;

and the packing/shipping of intransit shipments overseas.

Commercial Packing File - Control file used to coordinate and expedite shipments of

articles packed by commercial packers.

Disposition: Destroy when 1 year old.

DispAuthNo: II-NN-3250, item G 72 **Date Edited:** 7/13/2007

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A-06-011-67 Warehouse Operations - Packing and Shipping

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts;

and the packing/shipping of intransit shipments overseas.

Shipment Reports - Daily log of shipments indicating production, monetary value,

date of shipment, type of shipment, and time of pick up.

Disposition: Destroy when 1 year old.

DispAuthNo: II-NN-3250, item G 73 **Date Edited:** 7/13/2007

A-06-011-70 Warehouse Operations - General Warehouse Records

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts;

and the packing/shipping of intransit shipments overseas.

Mechanical Equipment Records - Operating records and charts for scheduling mechanized warehouse equipment for servicing, inspection, overhaul or repair,

including performance, usage and cost records.

Disposition: Destroy when 1 year old.

A-06-011-71 Warehouse Operations - General Warehouse Records

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts;

and the packing/shipping of intransit shipments overseas.

Truck Delivery Schedules

Disposition: Destroy when 1 month old.

DispAuthNo: II-NN-3250, item G 75 **Date Edited:** 7/13/2007

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A-06-011-72 Warehouse Operations - Packing and Shipping

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts;

and the packing/shipping of intransit shipments overseas.

Warehouse Inventory Records - Non-expendable inventory records of furniture and equipment returned to stock and including survey data as to items suitable for reissue, requiring repairs or refinishing, or recommended for excess declaration.

Disposition: Destroy when 1 year old.

DispAuthNo: II-NN-3250, item G 76 **Date Edited:** 7/13/2007

A-06-011-73 Warehouse Operations - Packing and Shipping

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts;

and the packing/shipping of intransit shipments overseas.

Production Reports - Copies of monthly production reports showing such

information as number of line items issued from stock, etc.

Disposition: Destroy when 1 year old.

DispAuthNo: II-NN-3250, item G 77 **Date Edited:** 7/13/2007

A-06-011-74 Warehouse Operations - Packing and Shipping

Description: Records pertaining to the Department's warehouse operated for the receipt, storage

and issue of all furniture and supplies for domestic offices; the storage issue, export packing/shipping of special items and forms utilized by the Foreign Service posts;

and the packing/shipping of intransit shipments overseas.

Warehouse Management Records - Records such as warehouse layout plans for location of components, bin and bulk storage layouts; manpower utilization statistics

and reports; and general administrative files.

Disposition: Destroy when 3 years old.

A-06-011-80 Transportation - Travel Orders and Authorizations

Description: Includes requests, authorizations, orders, obligating documents, and other records

related to official travel.

Disposition: Destroy when 6 years old.

DispAuthNo: GRS 9, item 3a **Date Edited:** 4/1/1999

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A-06-011-81 Transportation - Authorization Number Books

Description:

Disposition: Destroy when 6 years old.

DispAuthNo: GRS 9, item 3a **Date Edited:** 4/1/1999

A-06-011-82 Transportation - Personal and Household Effects Records

Description: Consists of forms, correspondence, and other records pertaining to the

transportation of personal and household effects.

Disposition: Destroy 2 years from date of transportation authorization.

DispAuthNo: 352-S-173, item 45 **Date Edited:** 4/1/1999

A-06-011-83 Transportation - Through Bill of Lading Files

Description: Residence to residence bills of lading, memorandums to posts requesting shipping

costs, letters to carriers requesting rate quotations for different posts, inbound and outbound cost comparisons, and letters to carriers and other correspondence

expressing eligibility or non-eligibility for Through Bill of Lading.

Disposition: Destroy when 5 years old.

DispAuthNo: NC1-59-77-23, item 1 **Date Edited:** 8/17/2007

A-06-011-84 Transportation - Subject Files on Contracts

Description: Correspondence, memorandums, and other papers on liquidation, permanent

storage, export packing, and other aspects of the shipment and storage of personal

and household effects.

Disposition: Destroy when 5 years old.

DispAuthNo: NC1-59-77-23, item 2 **Date Edited:** 4/1/1999

A-06-011-85 Reserved for future use

Description:

Disposition:

DispAuthNo: Reserved Date Edited: 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-011-

Transportation - Transportation Subject Files

86a

Description: a. Historical or precedent files. Correspondence, memorandums, and other papers

that document the inception, development, scope, and policies of the transportation

program for the Foreign Service.

Disposition: Permanent. Retire to RSC when 5 years old or when no longer needed for

reference use, whichever occurs first. Offer to the National Archives when 30

years old.

DispAuthNo: NC1-59-77-23, item 3a

Date Edited: 4/1/1999

A-06-011-86b **Transportation - Transportation Subject Files**

Description: b. All other subject files.

Disposition: Destroy when 3 years old.

DispAuthNo: NC1-59-77-23, item 3b **Date Edited:** 4/1/1999

A-06-011-

Transportation - Transportation Subject Files

86c

Description: c. Chron files.

Disposition: Destroy when 2 years old.

DispAuthNo: NC1-59-77-23, item 3c **Date Edited:** 4/1/1999

A-06-011-87 Transportation - Transportation Staff Studies

Description: Consists of studies on ocean freight rates, per diem, charter aircraft.

Disposition: Destroy when obsolete or 2 years old, whichever is sooner.

DispAuthNo: NC1-59-77-23, item 4 **Date Edited:** 4/1/1999

A-06-011-88 Import and Export Records - U.S. Dispatch Agencies

Description: Records maintained on imports and exports handled by the United States Dispatch

Agency. They include customs actions, copies of vouchers and Government bills of lading with related documents and correspondence on shipments on which all payments have been satisfied. The record copies of the vouchers and bills of lading are submitted to the Office of Finance where they are retained for the necessary

period to meet GAO site audit requirements.

Disposition: Destroy when 5 years old.

DispAuthNo: II-NNA-3009, item 1 Date Edited: 4/1/1999

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A-06-011-89 Manifests - U.S. Dispatch Agencies

Description: Manifests of incoming and outgoing shipments, showing consignee, destination,

number of shipment, date received and forwarded, name of steamer, weight,

contents, charges, and other pertinent information.

Disposition: Destroy 10 years after date of last entry on log.

DispAuthNo: II-NNA-3009, item 2 **Date Edited:** 4/1/1999

A-06-011-95 Financial Statements - Commissary and Recreation

Description: Consists of balance sheets, profit/loss statements copies of audit reports, as

required, collected from non government operated post employee services and facilities abroad. These reports are collected semi annually and are used to monitor financial activities of employee associations in compliance with 6 FAM. Also includes related correspondence exchanged between Department and posts.

Disposition: Maintain in semi-annual blocks. Retire to RSC when 2 years old. Destroy when 4

years old.

DispAuthNo: N1-59-87-12, item 1 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Allowances

A-06-012-01 Allowance and Differential Policy File

Description: Consists of correspondence, reports and staff studies of the organization,

administration, policies, and procedures of the Allowances and Differential Program.

These records document policies and general procedures concerning the government-wide allowances system administered by the Allowance Division.

Disposition: Permanent. Retire to RSC after 10 years.

DispAuthNo: II-NN-3244, item 1 **Date Edited:** 4/1/1999

A-06-012-02a **Reference and Administration Files**

Description: Consists of correspondence, reports, working papers, reference publications, etc.

a. Correspondence with private organizations and individuals.

Disposition: Destroy when 5 years old. (ref. II-NN-3326, item 1)

DispAuthNo: NN-171-129, item 1a **Date Edited:** 4/1/1999

A-06-012-02b **Reference and Administration Files**

Description: Consists of correspondence, reports, working papers, reference publications, etc.

b. Subject Files.

Disposition: Destroy when 15 years old. (ref. II-NN-3326, item 1)

DispAuthNo: NN-171-129, item 1b **Date Edited:** 4/1/1999

A-06-012-03a **Quarters and Cost of Living Post Files**

Description: a. Correspondence concerning rent and price controls, transmitting and explaining

Retail Price Schedules, requests for quarters allowance, cost of living statistics developed by foreign governments, and communications on economic conditions.

Disposition: Destroy when 10 years old. (ref. II-NN-3244, items 3a, c, d, & e)

DispAuthNo: NN-171-129, item 2a **Date Edited:** 4/1/1999

A-06-012-03b Quarters and Cost of Living Post Files

Description: b. Allowance recommendations and supporting documents including DSP-23,

Retail Price Schedule.

Disposition: Destroy when 10 years old. (ref. II-NN-3244, items 3a, c, d, & e)

DispAuthNo: NN-171-129, item 2b **Date Edited:** 4/1/1999

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A-06-012-

Quarters and Cost of Living Post Files

03c

Description: c. Reports of Inspectors.

Disposition: Destroy when replaced by two subsequent reports. (ref. II-NN-3244, items 3a, c,

d, & e)

DispAuthNo: NN-171-129, item 2c

Date Edited: 4/1/1999

A-06-012-04

Washington, D.C. Retail Price Schedule

Description:

Disposition: Destroy when 5 years old except for February schedules. Destroy February

schedules when 10 years old. (ref. II-NN-3244, item 4)

DispAuthNo: NN-171-129, item 3 a & b

Date Edited: 6/29/2007

A-06-012-05

Survey of Territories

Description: Correspondence, retail price schedules, index and price ratios, worksheets, and

cost of living questionnaires.

Disposition: Destroy when 5 years old.

DispAuthNo: II-NN-3244, item 5

Date Edited: 4/1/1999

A-06-012-06

Allowance Record

Description: This record is a visible card index containing information as to effective date, date

order issued, order number, class, etc. As a summary of allowance activities of the

division this record is of long-term administrative value.

Disposition:

Retain.

DispAuthNo: II-NN-3244, Item 6

Date Edited:

4/1/1999

A-06-012-07

Quarters Costs Records

Description: SF-1190, Foreign Allowance Application Grant and Report, and related worksheets.

Disposition: Destroy upon receipt of new annual form. (ref. II-NN-3244, item 7)

DispAuthNo: NN-171-129, item 4

Date Edited:

Chapter 06: Bureau of Administration Records

A-06-012-08a **Standardized Regulations File**

Description:

Includes record copies of changes in allowance regulations affecting all Government civilian employees overseas, together with initial recommendations and the official signed authority for the changes, and official record copy of the printed changes.

a. Record copy of each printed change.

Disposition: Permanent. Transfer to National Archives in 5-year blocks when 10 years old.

DispAuthNo: NC1-59-80-2, item 1a **Date Edited:** 4/1/1999

A-06-012-08b Standardized Regulations File

Description: Includes record copies of changes in allowance regulations affecting all

Government civilian employees overseas, together with initial recommendations and the official signed authority for the changes, and official record copy of the printed

changes.

b. Background material.

Disposition: Destroy when 25 years old.

DispAuthNo: NC1-59-80-2, item 1b **Date Edited:** 4/1/1999

A-06-012-09 Education Allowance Recommendations

Description: Consists of copies of allowance recommendations, initial and subsequent annual

questionnaires, evaluation worksheets, reports and correspondence with foreign

posts, information copies of outgoing instructions on classification and reclassification of education allowance rates, record copies of memorandums

interpreting regulations on educational allowance.

Disposition: Retire to RSC after 7 years. Destroy when 12 years old. (ref. II-NN-3244, item 11)

DispAuthNo: NN-171-129, item 5 **Date Edited:** 4/1/1999

A-06-012-10 Application for Grant of Educational Allowance

Description:

Disposition: Destroy when 3 years old. (ref. II-NN-3244, item 12)

DispAuthNo: NN-171-129, item 6 **Date Edited:** 4/1/1999

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A-06-012-11 Differential Post File

Description: Consists of Differential Questionnaire, correspondence concerning rates, copies of

current and rescinded Post Reports.

Disposition: Retire to RSC after 7 years. Destroy when 12 years old. (ref. II-NN-3244, item 14)

DispAuthNo: NN-171-129, item 7 **Date Edited:** 4/1/1999

A-06-012-12 Unhealthful Post File

Description: Consists of rate determinations memos, drafts of amendments to Unhealthful Post

List; and copies of printed amendments.

a. file dated prior to 1950

Disposition: Retain (ref. II-NN-3244, item 15)

DispAuthNo: NN-171-129, item 8a **Date Edited:** 6/29/2007

A-06-012- Unhealthful Post File 12a

Description: Consists of rate determinations memos, drafts of amendments to Unhealthful Post

List; and copies of printed amendments.

b. All other files

Disposition: Destroy when 12 years old.

DispAuthNo: NN-171-129, item 8b **Date Edited:** 6/29/2007

Chapter 06: Bureau of Administration Records

Authentications

A-06-014-01 Authentication Requests

Description: Consist of letters from private individuals, organizations, and foreign governments

requesting authentication of documents.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-87-2, item 1 **Date Edited:** 4/1/1999

A-06-014-02 Undeliverable Cases

Description: Authenticated documents, request for fees, checks, money orders and letters of

instruction on authentication procedures for powers of attorney, invitations, birth, marriage and death certificates, letters of inheritance, affidavits of support, original

and copies of transcripts and diplomas. Material has been returned as

undeliverable, no follow up by requestor.

Disposition: Destroy 1 year from day document was returned as undeliverable.

DispAuthNo: N1-59-87-2, item 2 **Date Edited:** 4/1/1999

A-06-014-03 Copies of SF-219, Certificate of Deposit

Description: Office copies of original sent to FMP for processing.

Disposition: Destroy when 3 years old. (ref. NN-170, item 185)

DispAuthNo: N1-59-87-2, item 3 **Date Edited:** 4/1/1999

A-06-014-04 Precedent Cases

Description: Background material, concerning the Amendatory Act approved September 15,

1789 designating the Secretary of State, to authenticate using the seal of office.

Also includes policies, procedures and precedent uses of the seal.

Disposition: Retire to RSC when 5 years old. Retain for 25 years.

DispAuthNo: N1-59-87-2, item 4 **Date Edited:** 4/1/1999

A-06-014-05 Boycott Requests

Description: Requests which violate the Export Administrative Act of 1979.

Disposition: Destroy when 7 years old.

DispAuthNo: N1-59-87-2, item 5 **Date Edited:** 4/1/1999

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A-06-014-06 Monthly Reports

Description: Record of total number of documents authenticated each month, customers who

have received over the counter and mail service each month and fees. Also

indicates total number of documents received by mail.

Disposition: Destroy when 5 years old.

DispAuthNo: N1-59-87-2, item 6 **Date Edited:** 4/1/1999

A-06-014-07 Apostilles

Description: Consists of a certificate issued by the Authentication Office for documents that are

destined for use in 33 countries as specified in the Treaty Abolishing requirements of Legislation for Foreign Public Documents which became effective October 15, 1981. Article 7 of the treaty indicates that a register or card index must be kept. In

addition a copy of the actual Apostille is maintained.

Disposition: Cut off at the end of 5 years and retire to the Records Service Center. Transfer to

WNRC when 7 years old. Destroy when 20 years old.

DispAuthNo: N1-59-91-23, item 1 **Date Edited:** 4/1/1999

A-06-014-08 Record of Fees

Description: Documents that indicate the name of the person or company requesting

authentication services, number of documents, check number, amount of money deposited and whether a check or money order was used (Form DS-1759).

Disposition: Destroy after GAO audit or when 5 years old, whichever is sooner.

DispAuthNo: N1-59-91-23, item 2 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Freedom of Information Act and Privacy Act

A-06-015-01 Information and Privacy Coordinator - Program Files - Arranged by TAGS and

Terms

Description: Congressional correspondence, public correspondence, memorandums, reports,

telegrams, and other documentation on requests for access to Department information under various current and previous acts, orders, and amendments covering appeals, classification review, commissions, Congress, Department's Central Foreign Policy File, depositions, discovery requests, embassies, Ethics in Government Act, Executive Order 12356, Executive Order 12958, foreign governments, Foreign Relations of the United States, Freedom of Information Act, General Accounting Office, Information Security Oversight Office, Inspector General, international organizations, law suites, Legal Adviser's Office, legislation, litigation, Mandatory Review, manuscript review, National Archives, Office of Management and Budget, other agencies, plans, policies, Privacy Act, procedures, programs, records centers, subpoenas, weekly activity reports, and other related

subjects.

Arrange file by TAGS and Terms. Cut off file at end of calendar year.

Disposition: Cut off at end of calendar year. Retire to RSC when 3 years old. Destroy when 6

years old.

DispAuthNo: N1-59-95-4, item 23 **Date Edited:** 4/1/1999

A-06-015-02a(1) Request Files - FOIA

Description: Files created in response to requests for information under the Freedom of

Information Act, consisting of the original request, a copy of the reply thereto, and all related supporting files which may include the official file copy of requested records

or copy thereof.

a. Correspondence and supporting documents (excluding the official file copy of the

records requested if filed herein).

(1) Granting Access to all the requested records.

Disposition: Destroy 2 years after date of reply.

DispAuthNo: GRS 14, item 11a(1) **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-015-02a(2)(a) **Request Files - FOIA**

Description:

Files created in response to requests for information under the Freedom of Information Act, consisting of the original request, a copy of the reply thereto, and all related supporting files which may include the official file copy of requested record or copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).
- (2) Responding to requests for non-existent records; to requesters who provide inadequate descriptions; and to those who fail to pay agency reproduction fees.
- (a) Request not appealed.

Disposition: Destroy 2 years after date of reply.

DispAuthNo: GRS 14, item 11a(2)(a) **Date Edited:** 4/1/1999

A-06-015-02a(2)(b) **Request Files - FOIA**

Description:

Files created in response to requests for information under the Freedom of Information Act, consisting of the original request, a copy of the reply thereto, and all related supporting files which may include the official file copy of requested record or copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).
- (2) Responding to requests for non-existent records; to requesters who provide inadequate descriptions; and to those who fail to pay agency reproduction fees.
- (b) Request appealed.

Disposition: Destroy as authorized under item 061503.

DispAuthNo: GRS 14, item 11a(2)(b) **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-015-02a(3)(a) **Request Files - FOIA**

Description:

Files created in response to requests for information under the Freedom of Information Act, consisting of the original request, a copy of the reply thereto, and all related supporting files which may include the official file copy of requested record or copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).
- (3) Denying access to all or part of the records requested.
- (a) Request not appealed.

Disposition: Destroy 6 years after date of reply.

DispAuthNo: GRS 14, item 11a(3)(a) **Date Edited:** 4/1/1999

A-06-015-02a(3)(b) **Request Files - FOIA**

Description:

Files created in response to requests for information under the Freedom of Information Act, consisting of the original request, a copy of the reply thereto, and all related supporting files which may include the official file copy of requested record or copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).
- (3) Denying access to all or part of the records appealed.
- (b) Request appealed.

Disposition: Destroy as authorized under item 061503.

DispAuthNo: GRS 14, item 11a(3)(b) **Date Edited:** 4/1/1999

A-06-015-02b Request Files - FOIA

Description:

Files created in response to requests for information under the Freedom of Information Act, consisting of the original request, a copy of the reply thereto, and all related supporting files which may include the official file copy of requested record or copy thereof.

b. Official file copy of requested records.

Disposition: Dispose of in accordance with approved agency disposition instructions for the

related records or with the related FOIA request, whichever is later.

DispAuthNo: GRS 14, item 11b **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-015-03a **FOIA Appeals Files**

Description:

Files created in responding to administrative appeals under the FOIA for release of information denied by the agency, consisting of the appellant's letter, a copy of the reply thereto, and related supporting documents, which may include the official file copy of records under appeal or copy thereof.

a. Correspondence and supporting documents (excluding the file copy of the records under appeal if filed herein).

Disposition: Destroy 6 years after final determination by agency, 6 years after the time at which

a requester could file suit, or 3 years after final adjudication by the courts,

whichever is later.

DispAuthNo: GRS 14, item 12a **Date Edited:** 4/1/1999

A-06-015-03b **FOIA Appeals Files**

Description: Files created in responding to administrative appeals under the FOIA for release of

information denied by the agency, consisting of the appellant's letter, a copy of the reply thereto, and related supporting documents, which may include the official file

copy of records under appeal or copy thereof.

b. Official file copy of records under appeal.

Disposition: Dispose of in accordance with approved agency disposition instructions for the

related record or with the related FOIA request, whichever is later.

DispAuthNo: GRS 14, item 12b **Date Edited:** 4/1/1999

A-06-015-04a **FOIA Control Files**

Description: Files maintained for control purposes in responding to requests, including registers

and similar records listing date, nature, and purpose of request and name and

address of requester.

a. Registers or listing.

Disposition: Destroy 6 years after date of last entry.

DispAuthNo: GRS 14, item 13a Date Edited: 4/1/1999

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A-06-015-04b **FOIA Control Files**

Description:

Files maintained for control purposes in responding to requests, including registers and similar records listing date, nature, and purpose of request and name and address of requester.

b. Other files.

Disposition:

Destroy 6 years after final action by the agency or after final adjudication by

courts, whichever is later.

DispAuthNo:

GRS 14, item 13b

Date Edited:

4/1/1999

A-06-015-05

FOIA Reports Files

Description:

Recurring reports and one-time information requirements relating to the agency implementation of the Freedom of Information Act, excluding annual reports to the Congress at the departmental or agency level.

Disposition:

Destroy when 2 years old or sooner if no longer needed for administrative use.

DispAuthNo:

GRS 14. item 14

Date Edited:

4/1/1999

A-06-015-06

FOIA Administrative Files

Description:

Records relating to the general agency implementation of the FOIA, including notices, memoranda, routine correspondence, and related records.

Disposition:

Destroy when 2 years old or sooner if no longer needed for administrative use.

DispAuthNo:

GRS 14, item 15

Date Edited:

4/1/1999

A-06-015-07a(1) **Privacy Act Request Files**

Description:

Files created in response to requests from individuals to gain access to their records or to any information in the records pertaining to them, as provided for under 5 U.S.C. 552a(d)(1). Files contain original request, copy of reply thereto, and all related supporting documents, which may include the official file copy of records requested or copy thereof.

a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).

(1) Granting access to all the requested records.

Disposition:

Destroy 2 years after date of reply.

DispAuthNo:

GRS 14, item 21a(1)

Date Edited:

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A-06-015-07a(2)(a)

Privacy Act Request Files

Description:

Files created in response to requests from individuals to gain access to their records or to any information in the records pertaining to them, as provided for under 5 U.S.C. 552a(d)(1). Files contain original request, copy of reply thereto, and all related supporting documents, which may include the official file copy of records requested or copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).
- (2) Responding to requests for nonexistent records; to requesters who provide inadequate descriptions; and to those who fail to pay agency reproduction fees.
- (a) Requests not appealed.

Disposition:

Destroy 2 years after date of reply.

DispAuthNo:

GRS 14, item 21a(2)(a)

Date Edited:

4/1/1999

A-06-015-07a(3)(a)

Privacy Act Request Files

Description:

Files created in response to requests from individuals to gain access to their records or to any information in the records pertaining to them, as provided for under 5 U.S.C. 552a(d)(1). Files contain original request, copy of reply thereto, and all related supporting documents, which may include the official file copy of records requested or copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).
- (3) Denying access to all or part of the records requested.
- (a) Requests not appealed.

Disposition:

Destroy 5 years after date of reply.

DispAuthNo:

GRS 14, item 21a(3)(a)

Date Edited:

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A-06-015-07a(3)(b)

Privacy Act Request Files

Description:

Files created in response to requests from individuals to gain access to their records or to any information in the records pertaining to them, as provided for under 5 U.S.C. 552a(d)(1). Files contain original request, copy of reply thereto, and all related supporting documents, which may include the official file copy of records requested or copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records requested if filed herein).
- (3) Denying access to all or part of the records requested.
- (b) Requests appealed.

Disposition: Destroy as authorized under item 061508.

DispAuthNo: GRS 14, item 21a(3)(b) **Date Edited:** 4/1/1999

A-06-015-07b

Privacy Act Request Files

Description:

Files created in response to requests from individuals to gain access to their records or to any information in the records pertaining to them, as provided for under 5 U.S.C. 552a(d)(1). Files contain original request, copy of reply thereto, and all related supporting documents, which may include the official file copy of records requested or copy thereof.

b. Official file copy of requested records.

Disposition:

Dispose of in accordance with approved agency disposition instructions for the related records or with the related Privacy Act request, whichever is later.

DispAuthNo: GRS 14, item 21b **Date Edited:** 9/10/2004

Chapter 06: Bureau of Administration Records

A-06-015-08a **Privacy Act Amendment Case Files**

Description:

Files relating to and individual's request to amend a record pertaining to that individual as provided for under 5 U.S.C. 552a(d)(2); to the individual's request for a review of an agency's refusal of the individual's request to amend a record as provided for under 552a(d)(3); and to any civil action brought by the individual against the refusing agency as provided under 5 U.S.C. 552a(g).

a. Requests to amend agreed to by agency. Include individual's requests to amend and/or review refusal to amend, copies of agency's replies thereto, and related materials.

Disposition:

Dispose of in accordance with the approved disposition instructions for the related subject individual's record or 4 years after agency's agreement to amend,

whichever is later.

DispAuthNo: GRS 14, item 22a

Date Edited: 4/1/1999

A-06-015-08b **Privacy Act Amendment Case Files**

Description:

Files relating to an individual's request to amend a record pertaining to that individual as provided for under 5 U.S.C. 552a(d)(2); to the individual's request for a review of an agency's refusal of the individual's request to amend a record as provided for under 552a(d)(3); and to any civil action brought by the individual against the refusing agency as provided under 5 U.S.C. 552a(g).

b. Requests to amend refused by agency. Includes individual's requests to amend and to review refusal to amend, copies of agency's replies thereto, statement of disagreement, agency justification for refusal to amend a record, and related materials.

Disposition:

Dispose of in accordance with the approved disposition instructions for the related subject individual's record, 4 years after final determination by agency, or 3 years after final adjudication by courts, whichever is later.

DispAuthNo: GRS 14, item 22b **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-015-08c **Privacy Act Amendment Case Files**

Description:

Files relating to an individual's request to amend a record pertaining to that individual as provided for under 5 U.S.C. 552a(d)(2); to the individual's request for a review of an agency's refusal of the individual's request to amend a record as provided for under 552a(d)(3); and to any civil action brought by the individual against the refusing agency as provided under 5 U.S.C. 552a(g).

c. Appealed requests to amend. Includes all files created in responding to appeals under the Privacy Act for refusal by any agency to amend a record.

Disposition: Dispose of in accordance with the approved disposition instructions for related

subject individual's records or 3 years after final adjudication by courts, whichever

is later.

DispAuthNo: GRS 14, item 22c **Date Edited:** 4/1/1999

A-06-015-09 Privacy Act Accounting of Disclosure Files

Description: Files maintained under the provisions of 5 U.S.C. 552a(c) for an accurate

accounting of the date, nature, and purpose of each disclosure of a record to any person or to another agency, including forms for showing the subject individual's name, requester's name and address, purpose and date of disclosure, and proof of

subject individual's consent when applicable.

Disposition: Dispose of in accordance with the approved disposition instructions for the related

subject individual's records or 5 years after the disclosure for which the

accountability was made, whichever is later.

DispAuthNo: GRS 14, item 23 **Date Edited:** 4/1/1999

A-06-015- Privacy Act Control Files
10a

Description: Files maintained for control purposes in responding to requests, including registers

and similar records listing date, nature of request, and name and address of

requester.

a. Registers or listings.

Disposition: Destroy 5 years after date of last entry.

DispAuthNo: GRS 14, item 24a **Date Edited:** 4/1/1999

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A-06-015-10b **Privacy Act Control Files**

Description:

Files maintained for control purposes in responding to requests, including registers and similar records listing date, nature of request, and name and address of

requester.

b. Other files.

Disposition: Destroy 5 years after final action by the agency or final adjudication by courts,

whichever is later.

DispAuthNo: GRS 14, item 24b **Date Edited:**

ate Edited: 4/1/1999

A-06-015-11

Privacy Act Reports Files

Description: Recurring reports and one-time information requirement relating to agency

implementation, including biennial reports to the Office of Management and Budget,

and the Report on New Systems at all levels.

Disposition: Destroy when 2 years old.

DispAuthNo: GRS 14, item 25

Date Edited: 4/1/1999

A-06-015-12

Privacy Act General Administrative Files

Description: Records relating to the general agency implementation of the Privacy Act, including

notices, memoranda, routine correspondence, and related records.

Disposition: Destroy when 2 years old or sooner if no longer needed for administrative use.

DispAuthNo: GRS 14, item 26 Date Edited: 4/1/1999

A-06-015-13a(1) **Mandatory Review for Declassification Requests Files**

Description:

Files created in response to requests for information under the mandatory review provisions of Executive Order (E.O.) 12958 consisting of the original request, a copy of the reply thereto, and all related supporting files, which may include the official file

copy of requested records or a copy thereof.

a. Correspondence and supporting documents (excluding the official file copy of the

records if filed herein, and sanitizing instructions, if applicable).

(1) Granting access to all the requested records.

Disposition: Destroy 2 years after date of reply.

DispAuthNo: GRS 14, item 31(a)(1) **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-015-13a(2)(a)

Mandatory Review for Declassification Requests Files

Description:

Files created in response to requests for information under the mandatory review provisions of Executive Order (E.O.) 12958 consisting of the original request, a copy of the reply thereto, and all related supporting files, which may include the official file copy of requested records or a copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records if filed herein, and sanitizing instructions, if applicable).
- (2) Responding to requests for nonexistent records; to requesters who provide inadequate descriptions; and to those who fail to pay agency reproduction fees.
- (a) Request not appealed.

Disposition: Destroy 2 years after date of reply.

DispAuthNo: GRS 14, item 31a(2)(a) **Date Edited:** 4/1/1999

A-06-015-13a(2)(b)

Mandatory Review for Declassification Requests Files

Description:

Files created in response to requests for information under the mandatory review provisions of Executive Order (E.O.) 12958 consisting of the original request, a copy of the reply thereto, and all related supporting files, which may include the official file copy of the requested records or a copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records if filed herein, and sanitizing instructions, if applicable).
- (2) Responding to requests for nonexistent records; to requesters who provide inadequate descriptions; and to those who fail to pay agency reproduction fees.
- (b) Request appealed.

Disposition: Destroy as authorized under item 061514.

DispAuthNo: GRS 14, item 31a(2)(b) **Date Edited:** 4/1/1999

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A-06-015-13a(3)(a)

Mandatory Review for Declassification Requests Files

Description:

Files created in response to requests for information under the mandatory review provisions of Executive Order (E.O.) 12958 consisting of the original request, a copy of the reply thereto, and all related supporting files, which may include the official file copy of requested records or a copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records if filed herein, and sanitizing instructions, if applicable.)
- (3) Denying access to all or part of the records requested.
- (a) Request not appealed.

Disposition: Destroy 5 years after date of reply.

DispAuthNo: GRS 14, item 31a(3)(a) **Date Edited:** 4/1/1999

A-06-015-13a(3)(b)

Mandatory Review for Declassification Requests Files

Description:

Files created in response to requests for information under the mandatory review provisions of Executive Order (E.O.) 12958 consisting of the original request, a copy of the reply thereto, and all related supporting files, which may include the official file copy of requested records or a copy thereof.

- a. Correspondence and supporting documents (excluding the official file copy of the records if filed herein, and sanitizing instructions, if applicable).
- (3) Denying access to all or part of the records requested.
- (b) Request appealed.

Disposition: Destroy as authorized under item 061514.

DispAuthNo: GRS 14, item 31a(3)(b) **Date Edited:** 4/1/1999

A-06-015-13b

Mandatory Review for Declassification Requests Files

Description:

Files created in response to requests for information under the mandatory review provisions of Executive Order (E.O.) 12958 consisting of the original request, a copy of the reply thereto, and all related supporting files, which may include the official file copy of requested records or a copy thereof.

b. Official file copy of requested records.

Disposition: Dispose of in accordance v

Dispose of in accordance with approved disposition instructions for the related records or with the related mandatory review request, whichever is later.

DispAuthNo: GRS 14, item 31b **Date Edited:** 4/1/1999

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A-06-015-13c Mandatory Review for Declassification Requests Files

Description:

Files created in response to requests for information under the mandatory review provisions of Executive Order (E.O.) 12958 consisting of the original request, a copy of the reply thereto, and all related supporting files, which may include the official file copy of requested records or a copy thereof.

c. Sanitizing instructions.

Disposition: Destroy when superseded or when requested documents are declassified or

destroyed.

DispAuthNo: GRS 14, item 31c **Date Edited:** 4/1/1999

A-06-015-14a Mandatory Review for Declassification Appeals Files

Description: Files created in responding to administrative appeals under the mandatory review

provisions of E.O. 12958 and its predecessors for release of information denied by the agency. Files consist of the appellant's letter, a copy of the reply thereto, and related supporting documents, which may include the official file copy of records

under appeal or copy thereof.

a. Correspondence and supporting documents (excluding the official file copy of the

records under appeal if filed herein).

Disposition: Destroy 4 years after final determination by agency.

DispAuthNo: GRS 14, item 32a **Date Edited:** 4/1/1999

A-06-015-14b **Mandatory Review for Declassification Appeals Files**

Description: Files created in responding to administrative appeals under the mandatory review

provisions of E.O. 12958 and its predecessors for release of information denied by the agency. Files consist of the appellant's letter, a copy of the reply thereto, and related supporting documents, which may include the official file copy of records

under appeal or copy thereof.

b. Official file copy of records under appeal.

Disposition: Dispose of in accordance with approved agency disposition instructions for the

related records, or with the related mandatory review request, whichever is later.

DispAuthNo: GRS 14, item 32b **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-015-15a

Mandatory Review for Declassification Control Files

Description:

Files maintained for control purposes in responding to requests, including registers and similar records listing date, nature, and purpose of request and name and address of requester.

a. Register or listing.

Destroy 5 years after date. Disposition:

DispAuthNo: GRS 14, item 33a Date Edited: 4/1/1999

A-06-015-15b

Mandatory Review for Declassification Control Files

Files maintained for control purposes in responding to requests, including registers **Description:**

and similar records listing date, nature, and purpose of request and name and

address of requester.

b. Other files.

Disposition: Destroy 5 years after final action by the agency.

DispAuthNo: GRS 14, item 33b Date Edited: 4/1/1999

A-06-015-16 Mandatory Review for Declassification Reports Files

Description: Reports relating to agency implementation of the mandatory review provisions of the

current Executive Order on classified national security information, including annual

reports submitted to the Information Security Oversight Office.

Disposition: Destroy when 2 years old or sooner if no longer needed for administrative use.

DispAuthNo: GRS 14, item 34 Date Edited: 4/1/1999

A-06-015-17 Mandatory Review for Declassification Administrative Files

Records relating to the general agency implementation of the mandatory review **Description:**

provisions of the current Executive Order on classified national security information,

including notices, memoranda, correspondence, and related records.

Disposition: Destroy when 2 years old or sooner if no longer needed for administrative use.

DispAuthNo: GRS 14, item 35 Date Edited: 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-015-18a **Erroneous Release Files**

Description:

Files relating to the inadvertent release of privileged information to unauthorized parties, containing information the disclosure of which would constitute an unwarranted invasion of personal privacy. Files contain requests for information, copies of replies thereto, and all related supporting documents, and may include the official copy of records requested or copies thereof.

a. Files that include the official file copy of the released records.

Disposition:

Follow the disposition instructions approved for the released official file copy or destroy 6 years after the erroneous release, whichever is later.

DispAuthNo: GRS 14, item 36a

Date Edited: 4/1/1999

A-06-015-18b **Erroneous Release Files**

Description:

Files relating to the inadvertent release of privileged information to unauthorized parties, containing information the disclosure of which would constitute an unwarranted invasion of personal privacy. Files contain requests for information, copies of replies thereto, and all related supporting documents, and may include the official copy of records requested or copies thereof.

b. Files that do not include the official file copy of the released records.

Disposition:

Destroy 6 years after the erroneous release.

DispAuthNo:

GRS 14, item 36b

Date Edited: 4/1/1999

A-06-015-19

Special Collections

Description:

Unique case files containing copies of records and original materials collected in response to FOIA and Privacy Act request, investigations by Congress and special prosecutors, and major court cases. Records have been retrieved from a variety of file sources. Collections may also result from investigation of alleged or known abuse, fraud, irregularities, violations of law or regulations. Documentation on search strategies may also be included.

Disposition:

Permanent. Retire to RSC upon determination of Director IM/IPS. Retire to WNRC 5 years later. Transfer to the National Archives when material in collection

is 30 years old.

DispAuthNo: N1-

N1-59-92-10, item 1

Date Edited:

Chapter 06: Bureau of Administration Records

A-06-015-20 Researchers - Security Clearance Files

Description: Correspondence, memorandums, Certificate of Security Authorization for Access

(DS-1897), Certificate of Security Clearance for Access (M-211), Request for

Biographic Data (OF-184), Retirement of Records (DS-693), and other

documentation on individual researchers security clearance needed to search the Department's classified Central Foreign Policy File covering access, authorizations, classified information, clearances, Diplomatic Security (DS), Executive Order 12958, security regulations, researchers (former Secretaries of State, or their designees, ambassadors, and employees; and historians (PA/HO), professors, and

scholars), and other related subjects.

Disposition: Destroy 6 years after period of last research. (ref. NC-059-75-17, item 2)

DispAuthNo: N1-59-95-4, item 24 **Date Edited:** 4/1/1999

A-06-015-21 Foreign Relations of the United States (FRUS) Record Files

Description: Files created in reviewing compilations submitted for declassification and publication

in the series Foreign Relations of the United States consisting of documents submitted for review, memorandums, memorandums recording declassification determinations, computer printout recording the review information created on each document, and correspondence concerning the final declassification determinations for each document, and copies of documents cleared for release in excised form.

Each FRUS compilation is arranged by series, volume number, and title.

Compilations that have been reviewed and cleared for publication.

Disposition: Retire to RSC 6 months after publication. Destroy 4 years after publication.

DispAuthNo: N1-59-93-14, item 1 **Date Edited:** 4/1/1999

A-06-015-22 Guidelines for Systematic Review of Records

Description: These guidelines are used to systematically review classified national security

information to determine if the information can be declassified.

The guidelines cover Department of State information found in blocks of files for

which the Department has declassification authority.

The guidelines are arranged by geographic region or global issue heading. Files

include a copy of the original guidelines and all subsequent modifications.

Disposition: Permanent. Retire record set to RSC when no longer needed for transfer to

WNRC. Transfer to the National Archives 15 years after transfer of related block

of records to the National Archives.

DispAuthNo: N1-59-96-3, item 1 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Central Foreign Policy File

A-06-016-01a Systems File - State Archiving System - Arranged by TAGS and Terms

Description:

Correspondence, memorandums, reports, and other documentation on automated data and information systems covering development, feasibility studies, hardware, indexing system, maintenance, meeting notes, Central Foreign Policy File main system and associated subsystems, projects, software, system designs, testing, and other related subjects.

a. Program Management Files.

Disposition:

Cut off at end of calendar year. Retire 1 year after documentation is no longer needed or when main system or subsystem is no longer used. Destroy when 5 years old.

DispAuthNo:

N1-59-95-4, item 26a

Date Edited: 4/1/1999

A-06-016-01b Systems File - State Archiving System - Arranged by TAGS and Terms

Description:

Correspondence, memorandums, reports, and other documentation on automated data and information systems covering development, feasibility studies, hardware, indexing system, maintenance, meeting notes, Central Foreign Policy File main system and associated subsystems, projects, software, system designs, testing, and other related subjects.

b. Routine Services Files.

Disposition:

Cut off at end of calendar year. Retire to RSC when 2 years old. Destroy when 4

years old.

DispAuthNo:

N1-59-95-4, item 26b

Date Edited: 4/1/1999

A-06-016-02a AVOCON (AV) System

Description:

This Automated Vocabulary Control system buildings master lists of (SC) subject concepts (SO), organizations, and (SP) personal names found in documents. The lists are used to promote consistency when indexing documents into the FAIS and to enhance the documents subsequent retrieval.

a. Organization Master. This list contains the names of organizations, and their abbreviations or acronyms found in documents being indexed.

Disposition:

Disposable. Destroy when active Department use ceases.

DispAuthNo:

NC1-59-83-4, item 3

Date Edited:

Chapter 06: Bureau of Administration Records

A-06-016-02b AVOCON (AV) System

Description:

This Automated Vocabulary Control system buildings master lists of (SC) subject concepts (SO), organizations, and (SP) personal names found in documents. The lists are used to promote consistency when indexing documents into the FAIS and to enhance the documents subsequent retrieval.

b. Personality Master. This list contains the names and titles of persons found in documents being indexed.

Disposition:

Disposable. Destroy when active Department use ceases.

DispAuthNo:

NC1-59-83-4, item 4

Date Edited:

4/1/1999

A-06-016-02c AVOCON (AV) System

Description:

This Automated Vocabulary Control system buildings master lists of (SC) subject concepts (SO), organizations, and (SP) personal names found in documents. The lists are used to promote consistency when indexing documents into the FAIS and to enhance the documents subsequent retrieval.

c. Thesaurus Master. This list contains standard subject concepts (terms) used to identify the main theme of documents being indexed. It also includes cross references to broader terms, related terms, narrower terms, and synonyms (Key Word Out of Context (KWOC)).

Disposition:

Disposable. Destroy when active Department use ceases.

DispAuthNo:

NC1-59-83-4, item 5

Date Edited:

Chapter 06: Bureau of Administration Records

A-06-016-03a(1)(a)

Central Foreign Policy File

Description:

This file constitutes the official Central File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- a. Pre-1973 Files.
- (1) Card Index to the Central Foreign Policy Files, 1950-1973. Name, Source and Subject indexes to the Central Foreign Policy Files indicate classification number, date and source of document and subject matter.
- (a) Originals.

Disposition:

Permanent. Transfer to the National Archives when 30 years old in 3 to 5 year

blocks.

DispAuthNo:

NC1-59-80-17, item 2

Date Edited:

4/1/1999

A-06-016-03a(1)(b)

Central Foreign Policy File

Description:

This file constitutes the official Central File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- a. Pre-1973 Files.
- (1) Card Indexes to the Central Foreign Policy File 1950-1973. Name, Source and Subject indexes to the Central Foreign Policy Files indicate classification number, date and source of document and subject matter.
- (b) Microfilm.

Disposition:

Permanent. Transfer to the National Archives when 30 years old in 3 to 5 year blocks.

DispAuthNo:

NC1-59-80-17, item 2

Date Edited:

Chapter 06: Bureau of Administration Records

A-06-016-03a(1)(c)

Central Foreign Policy File

Description:

This file constitutes the official Central File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- a. Pre-1973 Files.
- (1) Card Indexes to the Central Foreign Policy File 1950-1973. Name, Source and Subject indexes to the Central Foreign Policy Files indicate classification number, date and source of document and subject matter.
- (c) Paper Files.

Disposition:

Permanent. Transfer to the National Archives when 30 years old in 3 to 5 year blocks or sooner if negotiated with NARA.

DispAuthNo: NC1-59-80-17, item 1

Date Edited: 4/1/1999

A-06-016-03b(1)

Central Foreign Policy File

Description:

This file constitutes the official Central File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (1) Microfilm Positive and Negative Copies

Disposition: Permanent. Transfer to the National Archives when 30 years old.

DispAuthNo: NN-173-304, item 1a **Date Edited:** 4/1/1999

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A-06-016-03b(2)(a)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Affairs File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (2) Paper records.
- (a) That have been filmed.

Disposition:

Destroy after it has been ascertained that reproduced copies have been made in accordance with NARA regulations and are adequate substitutes for the paper records.

DispAuthNo:

NN-173-304, item 1b(2)

Date Edited:

4/1/1999

A-06-016-03b(2)(b)

Central Foreign Policy File

Description:

This file constitutes the official Central File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (2) Paper records.
- (b) Oversized Enclosures, 1973-Present. Arranged by name of post or by covering document number. These records are not microfilmed at the time of receipt.

Disposition:

Permanent. Transfer to WNRC at the end of the calendar year. Transfer to the National Archives when 30 years old or with the Automated Documents System film whichever is sooner.

DispAuthNo:

N1-59-92-16, item 1

Date Edited:

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A-06-016-03b(3)(a)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

NOTE: Many paper documents are destroyed after they are electronically stored or put on film. But, some documents need to be permanently preserved in their original physical form - when they have a significant degree of intrinsic characteristics or qualities.

- b. Post-1973 Files.
- (3) Intrinsic Documents. Intrinsic documents posses one or more of the following examples of intrinsic characteristics and qualities.

Physical characteristics and qualities.

Selecting ALL documents with the following PHYSICAL characteristics or qualities is not necessary, only selected EXAMPLES are desired:

- >Exhibit value: Impact of the original, or significant event, issue, or person.
- >Aesthetic and Artistic value: Architectural drawings, engravings, German Fraktur style type, maps, ornate, petitions, photographs, printing, or sketches (ink, pencil, or watercolor).
- >Unique feature value: Bindings, color, imprints, inks, paper (quality or texture), watermarks, or wax seals.
- >Authenticity value: By physically examining the best evidence the original for: age of paper, handwriting, physical tests, signatures, questionable author or date, or source information open to question.
- >Form value: Unique physical form or features, or evidence of technological development.
- >Age value: Scarcity.

Informational characteristics and qualities:

- >Public interest value Famous or historical events, issues, people, places, or things.
- >Value of Government actions Relating to the Department's: establishment, founding, acquiring or losing functions, or legal basis for continuing.

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>Policy value At the highest level in Department, historical, importance of the subject matter, intellectual, political significance, or scope of effect.

>---> Contact IPS/CR for guidance on intrinsic documents.

(a) Originals.

Disposition: Permanent. Retire to RSC when there is a full records box for transfer to WNRC.

Transfer to the National Archives when latest record in box is 30 years old. (ref.

NN-173-304, item 1b)

DispAuthNo: N1-59-95-4, item 27a **Date Edited:** 4/1/1999

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A-06-016-03b(3)(b)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

NOTE: Many paper documents are destroyed after they are electronically stored or put on film. But, some documents need to be permanently preserved in their original physical form - when they have a significant degree of intrinsic characteristics or qualities.

- b. Post-1973 Files.
- (3) Intrinsic Documents. Intrinsic documents posses one or more of the following examples of intrinsic characteristics and qualities.

Physical characteristics and qualities.

Selecting ALL documents with the following PHYSICAL characteristics or qualities is not necessary, only selected EXAMPLES are desired:

- >Exhibit value: Impact of the original, or significant event, issue, or person.
- >Aesthetic and Artistic value: Architectural drawings, engravings, German Fraktur style type, maps, ornate, petitions, photographs, printing, or sketches (ink, pencil, or watercolor).
- >Unique feature value: Bindings, color, imprints, inks, paper (quality or texture), watermarks, or wax seals.
- >Authenticity value: By physically examining the best evidence the original for: age of paper, handwriting, physical tests, signatures, questionable author or date, or source information open to question.
- >Form value: Unique physical form or features, or evidence of technological development.
- >Age value: Scarcity.

Informational characteristics and qualities:

- >Public interest value Famous or historical events, issues, people, places, or things.
- >Value of Government actions Relating to the Department's: establishment, founding, acquiring or losing functions, or legal basis for continuing.

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>Policy value At the highest level in Department, historical, importance of the subject matter, intellectual, political significance, or scope of effect.

>---> Contact IPS/CR for guidance on intrinsic documents.

(b) Microfilm.

Disposition: Permanent. Transfer to the National Archives along with related records when 30

years old. (ref. NN-173-304, item 1a)

DispAuthNo: N1-59-95-4, item 27b **Date Edited:** 6/29/2007

A-06-016-03b(4)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

b. Post-1973 Files.

(4) FAIS Main Text File. This text file contains the digitally stored texts of telegrams sent between the Department and its diplomatic posts since July 1, 1973. The most recent texts are stored on line and older texts are stored on magnetic tapes. In addition to the text of a telegram, each FAIS record contains a formatted section that includes: (AD) Addresses, (CL) Classification, (DO) Date or Origin, (MR) the Message Reference number, (OR) Originator), (SP) Subject Personality line, (ST) Subject code TAGS, and Title line. These formatted fields comprise part of a citation.

Disposition:

Permanent. Retain on magnetic tape data purged from disc. Transfer with related documentation to the National Archives annually when 30 years old or sooner if negotiated with NARA.

DispAuthNo: NC1-59-83-4, item 10 Date

Date Edited: 4/1/1999

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A-06-016-03b(5)(a)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (5) FAIS Index.
- (a) Text Index Files. This index file is used to locate the FAIS logical record and physical record containing a telegram's text. Data includes the: (CL) classification, (MR) telegram message reference number, (PD) date the telegram entered the system, and (RL) a pointer to the related telegram text in the FAIS Main Text File.

Disposition:

Permanent. Retain on magnetic tape data purged from disc. Transfer with related documentation to the National Archives with related Telegram Text File records.

DispAuthNo: NC1-59-83-4, item 11a

Date Edited: 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-016-03b(5)(b)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (5) FAIS Index.
- (b) FAIS Citation File. This file contains citations stored on-line in the FAIS. Each citation consists of terms or items abstracted from a single document. The terms or items are abstracted by an Indexer or automatically by the FAIS. The terms and items are then used to search for and locate: either the (MR) message reference number to view the text of an on-line telegram; or the (AN) accession number to view the text of an off-line document stored on microfilm.

Each citation contains the following document identification information: (AD) Addresses, (AN) microfilm Accession Number (reel & frame number), (BA) Bibliographic Analyst, (CL) Classification, (DO) Date of Origin, (EN) Enclosures, (IA) Index Analyst, (MR) Message Reference number (for airgrams & telegrams), (OR) the Originating office, (PD) Posting date, (PG) number of Pages, (RL) Record Locator number, (SC) Subject Concepts, (SO) Subject Organization, (SP) Subject Personality, (ST) Subject TAGS, (TI) Title, and (TP) Type of document [(AI) Airgram, (CC) Congressional Correspondence, (DN) Diplomatic Note, (GC) General Correspondence, (IR) Intelligence Report, (ME) Memorandum, (MC) Memorandum of Conversation, (OM) Operation Memorandum, & (TE) Telegram].

Disposition:

Permanent. Transfer with related documentation to the National Archives annually when Telegram Text File records are transferred.

DispAuthNo: NC1-59-83-4, item 11b **Date Edited:** 4/1/1999

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A-06-016-03b(5)(c)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (5) FAIS Index File.
- (c) Citation Index File. This index file is used to locate the FAIS logical record and physical record containing the citation's record. It contains a pointer to the record locator file number found in the first data field of each citation record, by which the computer identifies specific citations.

Disposition:

Permanent. Transfer with related documentation to the National Archives annually with related Document - Citation File.

DispAuthNo: NC1-59-83-4, item 11c

Date Edited: 4/1/1999

4/1/1999

A-06-016-03b(5)(d)

Central Foreign Policy File

Description:

This file constitutes the official Central File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (5) FAIS Index.
- (d) Postings File. This index file contains a listing of all subject terms provided in the Thesaurus Master in the AVOCON System. Data is maintained on line in the FAIS and is used in the indexing and search processes.

Disposition:

Permanent. Transfer with related documentation to the National Archives annually with Document Citation File.

DispAuthNo: NC1-59-83-4, item 11f **Date Edited:**

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A-06-016-03b(5)(e)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in this handbook).

- b. Post-1973 Files.
- (5) FAIS Index File.
- (e) Organization File. This Contains a listing of all organizations, including appropriate abbreviations and acronyms, provided in the organization Master in the AVOCON System. Data is maintained on line in the FAIS and is used in the indexing and search processes.

Disposition:

Permanent. Transfer with related documentation to the National Archives annually with Document Citation File.

DispAuthNo: NC1-59-83-4, item 11g

Date Edited: 4/1/1999

4/1/1999

A-06-016-03b(5)(f)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (5) FAIS Index File.
- (f) Personalities File. Contains a listing of all names and titles of persons provided in the Personalities Master in the AVOCON System. Data is maintained on line in the FAIS and is used in the indexing and search processes.

Disposition:

Permanent. Transfer with related documentation to the National Archives annually with Document Citation File.

DispAuthNo: NC1-59-83-4, item 11h **Date Edited:**

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A-06-016-03b(5)(g)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents; airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the records disposition schedules).

- b. Post-1973 Files.
- (5) FAIS Index File.
- (g) Date Range File. This index file contains pointers to the (DO) date of origin data field in the FAIS Document Citations Index File records. It allows searches of the system by date, in which all documents within a specified date range can be identified.

Disposition:

Permanent. Transfer with related documentation to the National Archives annually with Document Citation File.

DispAuthNo: NC1-59-83-4, item 11e

Date Edited: 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-016-03b(5)(h)

Central Foreign Policy File

Description:

This file constitutes the official Central Foreign Policy File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in this handbook).

- b. Post-1973 Files.
- (5) FAIS Index File.
- (h) Posting File. This index file contains an alphabetical listing of all terms used to index documents in the FAIS. For each listing, data includes the term, how it was used (e.g.: as (AD) addressee, (OR) originator, (SC) subject concept, etc.), and the number of times the term was used in that way. The file is used in the search process to help identify terms for search statements.

Disposition:

Permanent. Transfer with related documentation to the National Archives annually with Document Citation File.

DispAuthNo: NC1-59-83-4, item 11d

Date Edited: 4/1/1999

A-06-016-03c

Central Foreign Policy File

Description:

This file constitutes the official Central File of the Department of State. It includes ALL documents of a substantive nature, regardless of physical format, that establish, discuss, or define foreign policy. Included are telegrams and written documents: airgrams, congressional correspondence, general correspondence, diplomatic notes, intelligence reports, memorandums, memorandums of conversation, and operations memorandums. Excluded are records authorized to be maintained on a decentralized basis, and records authorized for separate disposition (identified elsewhere in the Records Disposition Schedules).

c. Thesaurus and Related Background Documents.

Disposition:

Permanent. A master record set of each new issuance of the Thesaurus and related documentation is to be retained in OIS. Another set, along with related documents, is to be offered to the Archives.

documents, is to be offered to the

DispAuthNo: NC-59-75-17, item 7 **Date Edited:** 7/16/2007

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A-06-016-04a Telegram Indexing Files

Description:

Memorandums, telegrams, and other related documentation on document indexing activities associated with the automated Foreign Affairs Information System covering biweekly reports, case files, correct command, indexing, post list, regulations, reports, systems development, text editing, training, Watch Officer, weekly reports, and other related subjects.

a. Automated Document System - Daily Reports - 38 DTD report, 89 DTD report,

and 91 DTD.

Disposition: Destroy when report is updated by the system or when no longer needed.

DispAuthNo: N1-59-95-4, item 31a **Date Edited:** 4/1/1999

A-06-016-04b **Telegram Indexing Files**

Description: Memorandums, telegrams, and other related documentation on document indexing

activities associated with the automated Foreign Affairs Information System covering biweekly reports, case files, correct command, indexing, post list, regulations, reports, systems development, text editing, training, Watch Officer,

weekly reports, and other related subjects.

b. All other items.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 31b **Date Edited:** 4/1/1999

A-06-016-05a **Written Communications Files**

Description: Correspondence, memorandums, and other documentation, on the receipt of

Department correspondence and its indexing into the Central Foreign Affairs Policy File covering briefings, guidelines, projects, weekly activity reports, work load

statistics, and other related subjects.

a. Automated Document System. Daily Reports - 38 DTD report, and 89 DTD

report.

Disposition: Destroy when report is updated by the system or when no longer needed.

DispAuthNo: N1-59-95-4, item 32a **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-016-05b

Written Communications Files

Description:

Correspondence, memorandums, and other documentation, on the receipt of Department correspondence and its indexing into the Central Foreign Affairs Policy File covering briefings, guidelines, projects, weekly activity reports, work load

statistics, and other related subjects.

b. All other items.

Disposition: Destroy when 3 years old.

Date Edited: DispAuthNo: N1-59-95-4, item 32b 4/1/1999

A-06-016-06 **Public Opinion Mail Files**

Correspondence from the public; both domestic and foreign; addressed to the **Description:**

> President, the White House, the Secretary of State, and Department of State; on foreign affairs matters; sent to the Department for reply or public opinion trend analysis covering anonymous letters, comments, observations, opinions, opposition,

petitions, remarks, suggestions, support, and other related subjects.

NOTE: This item does not cover correspondence relating to official business,

individual assistance, Department services, or activities.

Disposition: Destroy when 3 months old.

NC1-59-76-11 Date Edited: 4/1/1999 DispAuthNo:

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Multi-Media Publishing Services

A-06-017-01 Publishing and Reproduction Program - Subject Files - Arranged by TAGS

and Terms

Description: Correspondence, memorandums, reports, and other documentation on publishing

services covering binding, Department Notices, designing, distribution, editing, equipment, functions, GAO regulations, graphics, Inspector General, Joint Committee on Printing, legislation, policies, printing, procurement, production, projects, program management, publishing, recycling program, reports,

reproduction, standards, support services, typesetting, vendors, weekly activity reports, White House trip books. Working Capital Fund, and other related subjects.

Disposition: Cut off at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-95-4, item 28 **Date Edited:** 4/1/1999

A-06-017-02 Distribution Files

Description: Numbered and unnumbered case files containing distribution lists and related

correspondence, card indexes to the publications containing summary distribution data, and Publishing Services Requisition/Purchase Order/Invoice/Receiving

Report/Voucher (DS-5R).

Disposition: Destroy when form or publication for which the record was created is obsolete or

superseded.

DispAuthNo: NN-171-142, item 11 **Date Edited:** 4/1/1999

A-06-017-03 Editorial Files

Description: Memorandums, telegrams, DS-5R (Publishing Services Requisition, Purchase

Order, Invoice, Receiving Report, Voucher) and other documents on editorial services covering collating, compiling, composition, consulting, copy preparation, editing, design layout, GPO Style Manual, indexing, job tracking sheets, Key Officers, manuscripts, proofing, review, Telephone Directory, and other related

subjects.

Disposition: Printer to return all material to the Requesting Office. Requesting Office to

destroy 1 year after completion of job.

DispAuthNo: GRS-13, item 2a **Date Edited:** 4/1/1999

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A-06-017-04 Graphics Files

Description: Memorandums, Project Request (DS-1141), DS-5R (Publishing Services

Requisition, Purchase Order, Invoice, Receiving Report, Voucher), Customer Service Center Tracking Sheet, and other documents on graphics services covering art work, camera ready art, certifies, charts, designs, exhibits, graphics, Graphics Standard Handbook, graphs, illustrations, layouts, mastheads, offset lithographic plates, planning, screened paper prints, slides, view graphs, weekly activity reports,

and other related subjects.

Disposition: Return all material to the Requesting Office. Requesting Office to destroy 1 year

after use or when no longer needed.

DispAuthNo: GRS 21, items 5 thru 8 **Date Edited:** 4/1/1999

A-06-017-05 Printing - Negatives, Plates, and related Material

Description: Negatives and plates created in order to print a job, related art work, galley and

page proofs, original documents used to make the negative and plates, instructions

and correspondence to the printer; and a copy of the Publishing Services Requisition, Purchase Order, Invoice, Receiving Report, Voucher (DS-5R).

Disposition: Printer to return all material to the Requesting Office. Requesting Office to

destroy 1 year after completion of job.

DispAuthNo: GRS 13, item 2a **Date Edited:** 4/1/1999

A-06-017-06 DS-5R - White Copy File

Description: Requisition, Purchase Order, Invoice, Receiving Report, Voucher (DS-5R) working

copy (white).

Disposition: Destroy when 3 months old.

DispAuthNo: NN-171-142, item 9 **Date Edited:** 4/1/1999

A-06-017-07 Printing - Procurement Files

Description: Copies of Publishing Services Requisition, Purchase Order, Invoice, Receiving

Report, Voucher (DS-5R); and invoices, requisition, specifications, and related

correspondence.

Disposition: Destroy 3 years after completion or cancellation of requisition.

DispAuthNo: GRS 3, item 6a **Date Edited:** 4/1/1999

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Printing & Binding Files

08a

Description: a. Treaty Series. Treaties and other International Agreements Series (TIAS),

Treaties In Force (TIF), and United States Treaties (UST).

Disposition: Destroy when 6 months old.

DispAuthNo: NN-171-142, item 5a **Date Edited:** 4/1/1999

A-06-017-

Printing & Binding Files

08b

Description: b. All other records.

Disposition: Destroy when 3 years old.

DispAuthNo: NN-171-142, item 5b **Date Edited:** 4/1/1999

A-06-017-09 Publications Files

Description: Copies of contracts, requisitions, purchase orders, invoices and related

correspondence.

Disposition: Destroy 3 years after final payment.

DispAuthNo: GRS 3, item 3a(2) **Date Edited:** 4/1/1999

A-06-017-

10a

Report Files

Description: a. Equipment Reports. Annual Inventory of Field Plant; Annual Plant Report;

Report on Stored Equipment; reports on printing, processing, and distribution

equipment; and reports to the Joint Committee on Printing.

Disposition: Destroy when 3 years old, except retain in agency as long as needed reports used

for accounting purposes.

DispAuthNo: NC1-59-80-9, item 1 **Date Edited:** 4/1/1999

A-06-017-

10b

Report Files

Description: b. Production Reports. Daily plant and press production reports, short order station

reports, monthly and weekly production reports, and Reproduction Equipment Card.

Disposition: Destroy when 2 years old, or after completion of regular Departmental inspection

audit.

DispAuthNo: NC1-59-80-9, item 2 **Date Edited:** 4/1/1999

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Report Files

10c

Description: c. Service Reports. Copies of inspection report, inventory reports, invoices,

maintenance, purchase orders, receiving, rentals, requisitions, supplies, and usage

reports.

Disposition: Destroy when 3 years old.

DispAuthNo: NN-171-142, item 10

Date Edited: 4/1/1999

A-06-017-11

Working Capital Fund - Billing Files

Description: Publishing Services Requisition, Purchase Order, Invoice, Receiving Report,

Voucher (DS-5R).

Disposition: Destroy 1 year after completion of job.

DispAuthNo: GRS 13, item 2a

Date Edited: 4/1/1999

A-06-017-12a **Post Reports**

Description:

Each embassy prepares a Post Report (booklet) that covers all posts within the embassy's jurisdiction. Post Reports provide relevant facts and useful information to Department personnel. Post Reports cover information on: the host country (area, climate, commerce, communications, conditions (local inadequacies and hardships), customs pertinent to social behavior, education, employment, geography, health, medicine, population, public institutions, and transportation); the American Embassy (administrative policies and procedures, clothing, commissary privileges, education, food, housing, official functions, recreation); and notes for travelers (currency, customs, duties, exchange, firearms, holidays (local), recommended reading, travel procedures to follow, taxes, and weights). There are also exhibits and photographs of typical scenes.

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a. Master Set.

Disposition:

Permanent. Retire to RSC after update for transfer to WNRC. Transfer to the

National Archives when 25 years old.

DispAuthNo:

N1-59-96-17, item 1a

Date Edited:

4/1/1999

Chapter 06: Bureau of Administration Records

A-06-017-12b **Post Reports**

Description:

Each embassy prepares a Post Report (booklet) that covers all posts within the embassy's jurisdiction. Post Reports provide relevant facts and useful information to Department personnel. Post Reports cover information on: the host country (area, climate, commerce, communications, conditions (local inadequacies and hardships), customs pertinent to social behavior, education, employment, geography, health, medicine, population, public institutions, and transportation); the American Embassy (administrative policies and procedures, clothing, commissary privileges, education, food, housing, official functions, recreation); and notes for travelers (currency, customs, duties, exchange, firearms, holidays (local), recommended reading, travel procedures to follow, taxes, and weights). There are also exhibits and photographs of typical scenes.

b. All Other Copies.

Disposition: Destroy when updated or when no longer needed.

DispAuthNo: N1-59-96-17, item 1b **Date Edited:** 4/1/1999

A-06-017-13 Key Officers of Foreign Service Posts Publication

Description: A guide for American business representatives that lists key officers at all Foreign

Service posts with whom the representative would likely contact. All embassies,

consulates general, consulates, and missions are listed.

Disposition: Destroy when updated or no longer needed.

DispAuthNo: N1-59-96-17, item 2 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Directives Management

A-06-018-01a **Regulatory and Procedural Issuance**

Description: So

Series of regulatory and procedural issuance's of the Department including the Foreign Affairs Manual, Foreign Affairs Handbook series, organization charts of the Department of State, and numbered delegations of authority.

a. One complete master set of each series of issuance's, including handbooks, manuals, and organization charts. (ref. NC-59-75-14, items 1a & 5)

Disposition:

Permanent. Retire to RSC when 10 years old for transfer to WNRC. Transfer to National Archives when 30 years old.

DispAuthNo: N1-59-95-4, item 29a

Date Edited: 4/1/1999

A-06-018-01b Regulatory and Procedural Issuance's

Description: Series of regulatory and procedural issuance's of the Department including the

Foreign Affairs Manual, Foreign Affairs Handbook series, organization charts of the

Department of State, and numbered delegations of authority.

b. Extra copies.

Disposition: Destroy when superseded or when no longer needed for reference or distribution

purposes. (ref. NC-59-75-14, item 1b)

DispAuthNo: N1-59-95-4, item 29b **Date Edited:** 4/1/1999

A-06-018-01c Regulatory and Procedural Issuance's

Description: Series of regulatory and procedural issuance's of the Department including the

Foreign Affairs Manual, Foreign Affairs Handbook series, organization charts of the

Department of State, and numbered delegations of authority.

c. Background and docket (log) files, consisting of docket sheets, draft issuance's

and related clearance memoranda and forms.

Disposition: Permanent. Retire to RSC when 10 years old for transfer to WNRC. Transfer to

National Archives when 30 years old. (ref. NC-59-75-14, item 1c)

DispAuthNo: N1-59-95-4, item 29c **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-018-02 Regulations and Notices Published in the Federal Register

Description: Background or docket cases, containing drafts, memoranda pertaining to the

submission, clearance and approval of Departmental regulations and Public Notices

to be published in the Federal Register.

Disposition: Permanent. Retire to RSC when 10 years old for transfer to WNRC. Transfer to

National Archives when 30 years old.

DispAuthNo: NC-59-75-14, item 2 **Date Edited:** 4/1/1999

A-06-018-03 Policy and Procedure Files

Description: Includes guidelines and procedures relating to directives, forms, correspondence

and reports.

Disposition: Permanent. Retire to RSC when 10 years old for transfer to WNRC. Transfer to

National Archives when 30 years old.

DispAuthNo: NC-59-75-14, item 3 **Date Edited:** 4/1/1999

A-06-018-04 Distribution Lists for Issuance's

Description: Lists showing number of issuance's distributed to Departmental units and Foreign

Service posts.

Disposition: Destroy when superseded or obsolete.

DispAuthNo: NC-59-75-14, item 4 **Date Edited:** 4/1/1999

A-06-018-05 Publication Projects Files

Description: Correspondence, memoranda, reports and other papers on such projects as the

U.S. Government Organization Manual, Congressional Directory, delegations of

authority by Executive Order, Reports to Congress, etc.

Disposition: Destroy when publications reissued.

DispAuthNo: NC-59-75-14, item 8 **Date Edited:** 4/1/1999

A-06-018-06 Reporting Files

Description: Case files on recurring administrative, interagency and Congressional reports.

Disposition: Destroy 2 years after report is discontinued.

DispAuthNo: NC-59-75-14, item 9 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-018-07a **Forms Control Files**

Description:

Copies of forms and form letters with related memoranda regarding their preparation, clearance or approval, or discontinuance; and related reproduction

plates or mats.

a. One copy of each form and form letter with related memoranda on establishment

or discontinuance.

Disposition: Permanent. Retire to RSC when 10 years old for transfer to WNRC. Transfer to

National Archives when 30 years old.

DispAuthNo: NC-59-75-14, item 10a

Date Edited: 4/1/1999

A-06-018-07b **Forms Control Files**

Description: Copies of forms and form letters with related memoranda regarding their

preparation, clearance or approval, or discontinuance; and related reproduction

plates or mats.

b. Reproduction plates and mats.

Disposition: Destroy when related form is changed or discontinued.

DispAuthNo: NC-59-75-14, item 10b **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Library

A-06-019-01a **Library Program - Subject Files**

Description:

Correspondence, memorandums, reports, histories, speeches, and other documentation on the library covering items relating to acquisitions, audio cassettes recordings, books, cataloging, computerized check out, database research, electronic catalog, embassy commercial library program, facilities, foreign affairs, historical books, holdings, inter-library activities, library authors series, maps, microfilm and microfiche historical collections, monthly statistical workload reports, organizational moves, periodicals, policies, procedures, programs, publications, rare book program, reference services, resources, significant acquisitions, special collections, speeches, technical development, and other related subjects.

a. Historically Significant Topics - Arranged by TAGS and Terms.

Disposition:

Cut off at end of each calendar year. Retain in Library until obsolete or no longer

needed for reference use. (ref. NC1-59-80-21, item 1a)

DispAuthNo: N1-59-95-4, item 30a

Date Edited: 4/1/1999

A-06-019-01b **Library Program - Subject Files**

Description:

Correspondence, memorandums, reports, histories, speeches, and other documentation on the library covering items relating to acquisitions, audio cassettes recordings, books, cataloging, computerized check out, database research, electronic catalog, embassy commercial library program, facilities, foreign affairs, historical books, holdings, inter-library activities, library authors series, maps, microfilm and microfiche historical collections, monthly statistical workload reports, organizational moves, periodicals, policies, procedures, programs, publications, rare book program, reference services, resources, significant acquisitions, special collections, speeches, technical development, and other related subjects.

b. Other Program Subject Files - Arranged by TAGS and Terms.

Disposition:

Cut off at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo:

N1-59-95-4, item 30b

Date Edited:

4/1/1999

Chapter 06: Bureau of Administration Records

A-06-019-02a **Procurement Files**

Description:

Contracts, correspondence, purchase orders, requisitions, and other documentation on purchase orders covering administration, award, books, inspection, receipt, payment, periodicals, procurement, publishers, vendors, requisitions (Requisition for Equipment, Supplies, Furniture, Furnishings, or Services (OF-263)), and other related subjects.

a. Vendor File. Correspondence with publishers regarding the procurement of

books, periodicals, etc.

Disposition: Destroy when 3 years old.

DispAuthNo: NC1-59-80-21, item 3 **Date Edited:** 4/1/1999

A-06-019-02b **Procurement Files**

Description: Contracts, correspondence, purchase orders, requisitions, and other documentation

on purchase orders covering administration, award, books, inspection, receipt, payment, periodicals, procurement, publishers, vendors, requisitions (Requisition for Equipment, Supplies, Furniture, Furnishings, or Services (OF-263)), and other

related subjects.

b. Chronological Files. Arrange by Purchase Order Number.

Disposition: Destroy when one year old or when no longer needed.

DispAuthNo: Non-record **Date Edited:** 4/1/1999

A-06-019-02c(1) **Procurement Files**

Description: c. Publications Procurement Files.

(1) General correspondence with organizational elements of the Department

regarding purchase of books, periodicals, etc.

Disposition: Destroy when 3 years old.

DispAuthNo: NN-171-132, item 4a **Date Edited:** 4/1/1999

A-06-019-02c(2) **Procurement Files**

Description: c. Publications Procurement Files.

(2) Contracts, requisitions, purchase orders and related papers pertaining to award,

administration, receipt, inspection and payment.

Disposition: Destroy 3 years after final payment.

DispAuthNo: GRS 3, item 3(a)(2) **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-019-02d(1) **Procurement Files**

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Description: d. Post Files.

(1) Foreign Publications. Correspondence with posts requesting them to acquire

certain foreign publications with related invoices, vouchers, etc.

Disposition: Destroy when 3 years old.

DispAuthNo: NN-171-132, item 5 **Date Edited:** 4/1/1999

A-06-019-02d(2) **Procurement Files**

Description: d. Post Files.

(2) Library Service. Correspondence with posts regarding post requests for

publications including related requisitions.

Disposition: Destroy when 3 years old.

DispAuthNo: NN-171-132, item 6 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Records Management

A-06-020-01 Information and Records Resources Program - Subject Files

Description: Correspondence, memorandums, and other related documentation on information

and records resources covering indexing, initial distribution of Department correspondence, information and records research, records policies and

management, records center, and micro-records.

Disposition: Destroy when 5 years old.

DispAuthNo: NC-59-75-17, item 6 **Date Edited:** 4/1/1999

A-06-020-02 Records Management Program - Subject Files

Description: Correspondence, memorandums, telegrams, reports, studies, forms, and other

documentation on records policies and management in the Department, field offices, and posts covering Authorization for the Removal of Personal Papers and Non-record Materials (DS-1904), classified information, consultations, electronic records, E-mail policy, fax policies, Inspector General reports, litigation, microrecords, Posts Reports, procedures, records maintenance and disposition, Records Management Handbook, Request for Records Disposition Authority (SF-115), Request to Transfer, Approval, and Receipt of Records to NARA (SF-258),

Retirement of Records (DS-693B), security surveys, training, travel, and other related subjects.

a. Program - subject files

Disposition: Cut off at end of each calendar year. Destroy when 6 years old.

DispAuthNo: N1-59-95-4, item 34a **Date Edited:** 4/1/1999

A-06-020- Records Management Post Files 03a

Description: Consists of correspondence with posts regarding records matters; memorandums

and DS-693Bs transmitting shipments of retired records; copies of post security survey reports; Records Management survey reports; action and information

telegrams; and other related documents.

a. Copies of post security survey reports.

Disposition: Destroy when superseded by more current report.

DispAuthNo: NC-59-75-17, item 9a **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-020-03b **Records Management Post Files**

Description:

Consists of correspondence with posts regarding records matters; memorandums and DS-693Bs transmitting shipments of retired records; copies of post security survey reports; Records Management survey reports; action and information telegrams; and other related documents.

b. All other records.

Disposition: Retire to RSC when 3 years old. Destroy when 6 years old.

DispAuthNo: GRS 16, item 11 **Date Edited:** 4/1/1999

A-06-020-04 Records Disposition Files - Arranged by year, record group number, and

NARA job number

Description: Request for Authority to Dispose of Records (SF-115), Acknowledgement of

Request for Action (NA-13084), copies of Retirement of Records (DS-693B), signed copies of memorandums of concurrence, correspondence, memorandums, and other documentation on the disposition of Departmental and post records.

Disposition: Destroy when related records are destroyed or when no longer needed for

reference purposes.

DispAuthNo: GRS 16, item 2a **Date Edited:** 4/1/1999

A-06-020-05 Record - Destruction Notices Files

Description: Retired Department records which are schedule for destruction by WNRC: Notice of

Intent to Destroy (NA-13001) with date of notice, records disposal date, records description, accession number, disposal authority, volume, and location; Records Transmittal and Receipt (SF-135) with accession numbers, volume, box numbers, series description, disposal authority, disposal date, and location; memorandum of approval authorizing the destruction of the records signed by the office that created the records or its appropriate successor; and annotated correspondence and

memorandums.

Disposition: Destroy when related records are destroyed or when no longer needed for

reference purposes.

DispAuthNo: GRS 16, item 2a **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

A-06-020-06 SF-135 Files - Record Transfers to WNRC or NPRC

Description: Records Transmittal and Receipt (SF-135) with accession numbers, volume, box

numbers, series description, disposal authority, disposal date, and location on records transferred to WNRC or the National Personal Records Center (NPRC)

from RSC.

Copies of SF-135 used by the Department's Central Research Staff, the Records

Service Center, and the Records Management Staff.

Disposition: Retain in Department. Destroy when no longer needed for reference.

DispAuthNo: NC- 59-75-17, item 4 **Date Edited:** 4/1/1999

A-06-020-07 DS-693 Files - Retirement of Records

Description: Master set of original DS-693s and DS-693Bs used by the Department's Central

Records Research Staff to authoritatively determine the official status of all Department and posts records retired. The DS-693s and DS-693Bs are also annotated to document each change in the status of the records whenever the changes occur. The forms cover office/agency box numbers, RSC lot numbers and space numbers, WNRC accession numbers, NARA job numbers, volume, security classification, records control schedule number, recommendations for disposition, general description of records including inclusive dates, office/post box number, box

number, lot number assigned, and box contents list.

Disposition: Permanent. Use in Department as a master finding aid for researchers and

scholars. Transfer to NARA when no longer needed for reference.

DispAuthNo: NC-59-75-17, item 3 **Date Edited:** 4/1/1999

A-06-020-08 Microimage Records Files

Description: Correspondence, memorandums, and other documentation on micro-records

covering cameras, equipment, film, filming, microfiche, microfilm, Microfilm Library, photocopiers, quality controls, reader-printers, supplies, and other related subjects.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-95-4, item 37 **Date Edited:** 4/1/1999

Chapter 06: Bureau of Administration Records

Office of General Services Management

A-06-021-01 Foreign Service Employee Emergency Locator Records

Description: The purpose of this Employee Services Center database is to provide locator and

emergency next of kin information that can be used when handling death cases for Foreign Service personnel, including liaison between the Department and surviving families. It can also be used for next of kin emergency notification in support of crisis and Task Force Operations and post evacuations, and for mail holding and forwarding information. Foreign Service personnel who wish to participate voluntarily provide all information. The database was recently opened to any U.S. Government employee who is assigned to a foreign post. Privacy restrictions apply. See State

System 12, Foreign Service Employee Locator/Notification Records.

Disposition: TEMPORARY: See sub sections 01a through 01c(2) for specific dispositions.

DispAuthNo: N1-059-02-5, item 1 **Date Edited:** 7/16/2007

A-06-021-01a Foreign Service Employee Emergency Locator Records

Description: a. Inputs: Electronic and paper (OP-190 and DS-924) inputs containing name,

agency, current post of assignment, Washington area address and telephone number, instructions for forwarding mail, names of dependents, and names of

contacts for emergency purposes.

Disposition: TEMPORARY: Delete/destroy after input to the master file is verified.

DispAuthNo: N1-059-02-5, item 1a **Date Edited:** 7/16/2007

A-06-021-01b Foreign Service Employee Emergency Locator Records

Description: b. Master file: Contains general locator, mail and package forwarding address,

dependent, and emergency notification information. Updated as needed by individual employee or authorized Employee Service Center personnel.

Disposition: TEMPORARY: Delete employee record 5 years after the employee resigns or

retires.

DispAuthNo: N1-059-02-5, item 1b **Date Edited:** 7/16/2007

A-06-021-01c(1) Foreign Service Employee Emergency Locator Records

Description: c. Outputs: Hardcopy and/or soft copy display of employee record for returning

employee review and update, for crisis list of employees at a particular site, or, for receptionist to answer queries as to the location of employees who have given

permission for release of information.

Disposition: TEMPORARY: Destroy/delete when no longer needed by employee or Employee

Services Center authorized personnel.

DispAuthNo: N1-059-02-5, item 1c(1) **Date Edited:** 7/16/2007

Chapter 06: Bureau of Administration Records

A-06-021-01c(2) Foreign Service Employee Emergency Locator Records

Description:

c. Output: CD-ROM containing employee records of those individuals who have not modified their master file record for a period of five years. Employee record is

returned to the master file upon updating of the record.

Disposition: TEMPORARY: Delete employee record 5 years after the employee resigns or

retires.

DispAuthNo: N1-059-02-5, item 1c(2)

Date Edited: 7/16/2007

A-06-021-02a Leave Data - Departure for Post (DS-1552) File

Description: a. File contains paper copies of Form DS-1552 prepared and verified by the

employee and Employee Services Center personnel. Form DS-1552 is submitted as the initial step in the process of transferring pay and leave authority from domestic payroll in Washington to the overseas payroll center in Charleston, SC upon employee's departure for post. Forms are filed in alphabetical order by month.

Disposition: TEMPORARY: Cutoff at end of calendar year. Maintain in current file area for 2

years and destroy.

DispAuthNo: N1-059-02-5, item 2a **Date Edited:** 7/16/2007

A-06-021-

02b

Leave Data - Departure for Post (DS-1552) File

Description: b. Electronic copies produced on electronic mail or word processing systems.

Disposition: TEMPORARY: Delete once recordkeeping copy has been produced.

DispAuthNo: N1-059-02-5, item 2b **Date Edited:** 7/16/2007

A-06-021-03a Leave, Travel, and Consultation Status (DS-1707) File

Description: a. File contains paper copies of Form DS-1707, which are prepared and verified by

the employee and Employee Services Center. This form is sent to the Personnel Technician and used to transfer the employee to domestic payroll, and initiate any appropriate locality pay. The DS-1707 also reconciles all leave and travel. Forms

are filed in alphabetical order by month.

Disposition: TEMPORARY: Cutoff at end of calendar year. Maintain in current file area for 2

years and destroy.

DispAuthNo: N1-059-02-5, item 3a **Date Edited:** 7/16/2007

Chapter 06: Bureau of Administration Records

A-06-021-

Leave, Travel, and Consultation Status (DS-1707) File

03b

Description: b. Electronic copies produced on electronic mail or word processing systems.

Disposition: TEMPORARY: Delete once recordkeeping copy has been produced.

DispAuthNo: N1-059-02-5, item 3b

Date Edited: 7/16/2007

A-06-021-04a **Death Case File**

Description: a. File contains information used for handling death while in-service cases for both

Foreign Service and Civil Service personnel, including notification of next of kin, and

liaison between the Department and surviving families. Consists of copies of memorandums and letters for benefits, and life insurance forms.

Disposition: TEMPORARY: Destroy 20 years after case is closed.

DispAuthNo: N1-059-02-5, item 4a

Date Edited: 7/16/2007

A-06-021-04b Death Case File

Description: b. Electronic copies produced on electronic mail or word processing systems.

Disposition: TEMPORARY: Delete once recordkeeping copy has been produced.

DispAuthNo: N1-059-02-5, item 4b **Date Edited:** 7/16/2007

A-06-021-05

General Services Policy and Procedures File

Description: Consists of memoranda, studies and reports on significant plans, decisions and

problems concerning the buildings management program.

Disposition: TEMPORARY: Hold in current file area and destroy previous versions when

superseded or revised policies or procedures are received.

DispAuthNo: N1-059-03-10, item 1

Date Edited: 8/2/2005

A-06-021-06-

10

Reserved

Description:

Disposition:

DispAuthNo: Date Edited: 8/2/2005

Chapter 06: Bureau of Administration Records

A-06-021-11 General Administrative Subject Files.

Description: Contains information about general program and administrative functions such as

memoranda, inventory information, program history articles from State Newsletter

and State Magazine.

Disposition: DISPOSITION: Cutoff at end of calendar or fiscal year. Hold in current file area for

2 years and then retire to Records Service Center. Destroy 5 years after cutoff.

DispAuthNo: N1-059-03-10, item 6 **Date Edited:** 7/27/2005

A-06-021-12 Operations Support Correspondence File

Description: Contains correspondence, forms and schedules pertaining to the use and control of

all operations support, including but not limited to: auditoriums and conference

rooms, parking and flag requests.

Disposition: TEMPORARY: Cutoff at end of quarter. Delete/destroy 1 year after cutoff.

DispAuthNo: N1-059-03-10, item 5 **Date Edited:** 7/27/2005

A-06-021-13 Internal Control Purchase File

Description: Contains copies of documentation sent from Diplomatic Reception Rooms to the

Bureau of Resource Management for payment action. Consists primarily of

memoranda and invoices.

Disposition: TEMPORARY: Cutoff annually. Delete/destroy 5 years after cutoff.

DispAuthNo: N1-059-03-10, item 2 **Date Edited:** 7/21/2005

A-06-021-14 Diplomatic Reception Room Control Files

Description: Consists of correspondence, forms and schedules pertaining to the use and control

of the Diplomatic Functions Area. Also includes monthly use reports that identify the

type of event, the host, caterer's, etc.

Disposition: TEMPORARY: Hold in current file area and destroy when 5 years old.

DispAuthNo: N1-059-03-10, item 3 **Date Edited:** 7/21/2005

A-06-021- Statistical Reports 15a

Description: Daily reports concerning the activities of the office.

Disposition: TEMPORARY: Cutoff at end of month. Delete/destroy one month after cutoff.

DispAuthNo: N1-059-03-10, item 4a **Date Edited:** 7/21/2005

Chapter 06: Bureau of Administration Records

A-06-021-

Statistical Reports

15b

Description: Monthly reports concerning the activities of the office.

Disposition: TEMPORARY: Cutoff at end of quarter. Delete/destroy one year after cutoff.

DispAuthNo: N1-059-03-10, item 4b

Date Edited: 7/21/2005

A-06-021-15c Statistical Reports

Description: Annual reports concerning the activities of the office.

Disposition: TEMPORARY: Cutoff at end of calendar year. Delete/destroy 5 years after cutoff.

(NN-171-66, item 11)

DispAuthNo: N1-059-03-10, item 4c

Date Edited: 7/27/2005

A-06-021-16a Motor Vehicle Operating Reports and Statistics

Description: Daily Vehicle Report.

Disposition: TEMPORARY: Cutoff at end of month. Delete/destroy 3 months after cutoff.

DispAuthNo: N1-059-03-10, item 10a

Date Edited: 7/21/2005

A-06-021-

16b

Motor Vehicle Operating Reports and Statistics

Description: Weekly and Monthly Operating Statistics

Disposition: TEMPORARY: Cutoff at end of month. Delete/destroy when 1 year old.

DispAuthNo: N1-059-03-10, item 10b

Date Edited: 7/21/2005

A-06-021-

16c

Motor Vehicle Operating Reports and Statistics

Description: Annual Reports

Disposition: TEMPORARY: Cutoff at end of fiscal year. Delete/destroy 3 years after cutoff.

DispAuthNo: N1-059-03-10, item 10c **Date Edited:** 7/21/2005

Chapter 06: Bureau of Administration Records

A-06-021-17 FLEET MANAGEMENT

Motor Transportation General Correspondence Files

Description: Contains correspondence and related data pertaining to the maintenance and

operation of motor vehicles not otherwise provided for in this schedule.

Disposition: TEMPORARY: Hold in current files area and destroy when 2 years old.

DispAuthNo: N1-059-03-10, item 7 **Date Edited:** 7/21/2005

A-06-021-18 Motor Vehicle Maintenance and Repair File

Description: Consists of automotive and maintenance and repair bills arranged by vendor and by

vehicle number.

Disposition: TEMPORARY: Maintain for life of vehicle. Cutoff after vehicle is disposed.

Delete/destroy 6 months after cutoff.

DispAuthNo: N1-059-03-10, item 8 **Date Edited:** 7/21/2005

A-06-021-19 Imprest Fund Records

Description: Contains vouchers and supporting documents related to motor transportation

operations.

Disposition: TEMPORARY: Cutoff at end of fiscal year. Delete/destroy 6 months after cutoff.

DispAuthNo: N1-059-03-10, item 9 **Date Edited:** 7/21/2005

A-06-021-

20a

Motor Vehicle Operating Reports and Statistics

Description: Daily Vehicle Reports

Disposition: TEMPORARY: Cutoff at end of month. Delete/destroy 3 months after cutoff.

DispAuthNo: N1-059-03-10, item 10a **Date Edited:** 7/21/2005

A-06-021-

20b

Motor Vehicle Operating Reports and Statistics

Description: Weekly and Monthly Operating Statistics

Disposition: TEMPORARY: Cutoff at end of month. Delete/destroy when 1 year old.

DispAuthNo: N1-059-03-10, item 10b **Date Edited:** 7/21/2005

Chapter 06: Bureau of Administration Records

A-06-021-

Motor Vehicle Operating Reports and Statistics

20c

Description: Annual Reports.

Disposition: TEMPORARY: Cutoff at end of fiscal year. Delete/destroy 3 years after cutoff.

DispAuthNo: N1-059-03-10, item 10c

Date Edited: 7/21/2005

A-06-021-21 Gasoline and Car Wash Tickets and Related Paid Invoices

Description: Contains copies of gas, oil, and wash tickets and related paid invoices.

Disposition: TEMPORARY: Cutoff at end of fiscal year. Delete/destroy 6 months after cutoff.

DispAuthNo: N1-059-03-10, item 11 **Date Edited:** 7/21/2005

A-06-021-22a **Motor Vehicle Accident File**

Description: Contains records related to motor vehicle accidents maintained by transportation

office, including motor vehicle accident report, statements of drivers and witnesses,

and investigative and other related reports.

If damage to vehicle.

Disposition: TEMPORARY: Maintain for life of vehicle. Destroy 6 months after disposal of

vehicle.

DispAuthNo: N1-059-03-10, item 12a **Date Edited:** 7/21/2005

A-06-021-

22b

Motor Vehicle Accident File

Description: Contains records related to motor vehicle accidents maintained by transportation

office, including motor vehicle accident report, statements of drivers and witnesses,

and investigative and other related reports.

If serious bodily injury, death, or major property damage.

Disposition: TEMPORARY: Cutoff after accident or claim is settled. Delete/destroy 7 years

after accident or settlement of claim, whichever is later.

DispAuthNo: N1-059-03-10, item 12b **Date Edited:** 7/21/2005

Chapter 06: Bureau of Administration Records

A-06-021- AU

23a

AUDIOVISUAL SERVICES

Official State Department Photography

Description: Contains negatives relating to the foreign affairs of the U.S., high level or significant

State Department personnel and foreign officials. Arrange chronologically or numerically by date of negative and job number. File growth averages 334 negatives or two cubic feet per year with about 1670 negatives currently on hand.

1993 to present.

Disposition: PERMANENT: Arrange in 5-year blocks. Retire to RSC 5 years after the last year

in the block and transfer (with associated log) immediately to NARA.

DispAuthNo: N1-059-03-10, item 13a **Date Edited:** 7/22/2005

A-06-021-

23b

Official State Department Photography

Description: Contains digital images generated (scanned) from negatives relating to the foreign

affairs of the U.S., high level or significant State Department personnel and foreign officials. Arrange chronologically or numerically by date of negative and job number.

Disposition: PERMANENT: Arrange in 5-year blocks. Retire to RSC 5 years after the last year

in the block and transfer (with associated log) immediately to NARA.

DispAuthNo: N1-059-03-10, item 13b **Date Edited:** 7/22/2005

A-06-021-

23c

Official State Department Photography

Description: Original digital (born digital) images relating to the foreign affairs to the U.S., high

level or significant State Department personnel and foreign officials. Arrange chronologically and numerically by date of scanned image and job number.

Disposition: PERMANENT: Arrange in 5-year blocks. Retire to RSC 5 years after the last year

in the block and transfer (with associated log) immediately to NARA.

DispAuthNo: N1-059-03-10, item 13c **Date Edited:** 7/22/2005

A-06-021-

23d

Official State Department Photography

Description: Assignment logs, indexes, or other finding aids in hard copy and/or in electronic

form providing access to the negatives and digital images.

Disposition: PERMANENT: Transfer to NARA with the corresponding negatives and/or digital

images in items 23a through 23c.

DispAuthNo: N1-059-03-10, item 13d **Date Edited:** 7/22/2005

Chapter 06: Bureau of Administration Records

A-06-021-

Official State Department Photography

23e

Description: Contains uncaptioned negative and/or digital images of routine award ceremonies.

social events, activities, not related to the mission of the Department, personnel identification or passport images, and other routine administrative or personnel

related to the Department mission. (N1-059-92-18, item 1c)

Disposition: TEMPORARY: Distribute to requesting office or delete/destroy when no longer

needed for reference.

DispAuthNo: N1-059-03-10, item 13e **Date Edited:** 7/22/2005

A-06-021-24a VIDEO PRODUCTION

Video Master File

Description: Video master productions of internal communication programs that are

informational, routine and non-substantive in content, such as "How to" -type and scripted event video productions. Masters are produced on Betacam SP, SVHS, digibeta tape format. Subject and/or events are arranged chronologically with

number. 1995 to present.

Disposition: TEMPORARY: Maintain master in current file area for 5 years, then degauss, and

recycle tapes.

DispAuthNo: N1-059-03-10, item 14a **Date Edited:** 7/22/2005

A-06-021-

24b

Video Master File

Description: Video master productions of internal communication programs that are substantive

in content or document significant events such as Secretary's Town Meetings in response to terrorist attackers. Masters (since 1995) are produced on Betacam SP, SVHS, digibeta tape format. Subject and/or events are arranged chronologically with

number. 1995 to present.

Disposition: PERMANENT: Maintain master in current file area for 10 years and then transfer

the master and one copy to the National Archives in 2-year blocks.

DispAuthNo: N1-059-03-10, item 14b **Date Edited:** 7/22/2005

A-06-021-

24c

Video Master File

Description: Duplicate Copy for Public Dissemination.

Disposition: TEMPORARY: Transfer upon request to the National Technical Information

Service (NTIS).

DispAuthNo: N1-059-03-10, item 14c **Date Edited:** 7/22/2005

Chapter 06: Bureau of Administration Records

A-06-021-

Field Footage File

25a

Significant Field Footage.

Description:

Contains material that may or may not have been used in production. Produced on

Betacam SP, SVHS, digibeta tape format.

Material documenting significant people, places, or events.

Disposition:

PERMANENT: Maintain in current file area for 10 years. Then transfer to NARA in

2-year blocks.

DispAuthNo:

N1-059-03-10, item 15a

Date Edited:

7/22/2005

A-06-021-25b

Field Footage File

Routine Field Footage File.

Description:

Contains material that may or may not have been used in production. Produced on

Betacam SP, SVHS, digibeta tape format.

Material that does not document any significant people, place, or event.

Disposition:

TEMPORARY: Degauss and recycle tapes when no longer needed. (New item)

DispAuthNo:

N1-059-03-10, item 15b

Date Edited: 7/22/2005

A-06-021-26

Script File

Description:

Consists of written specifications for video production. Includes such things as setting, action and camera coverage, dialogue, narration and an audio portion that addresses music and sound effects. Also includes script approvals signed by

Department of State internal clients.

Disposition:

TEMPORARY: Destroy video production copy upon completion of project and

transfer original script to internal client.

DispAuthNo:

N1-059-03-10, item 16

Date Edited: 7/22/2005

A-06-021-27

Equipment Inventory Files

Description:

Contains copies of equipment requisitions and related documentation for current

inventory.

Disposition:

TEMPORARY: Destroy 2 years after discontinuance of equipment item, 2 years after stock balance is transferred to new inventory or 2 years after equipment is

removed from Department control.

DispAuthNo:

N1-059-03-10, item 17

Date Edited:

7/22/2005

Chapter 06: Bureau of Administration Records

A-06-021-28 Finding Aids (Index)

Description: Finding aids for identification, retrieval, or use of video master and raw footage

records. Contains such information as name of tape, date produced, topic, domestic

or overseas, tape format, and name of client.

Disposition: PERMANENT: Transfer to NARA with disposition of video master and field

footage files.

DispAuthNo: N1-059-03-10, item 18 **Date Edited:** 7/22/2005

A-06-021-29 ART BANK PROGRAM

Art Bank Program Vendor Files

Description: Contains copies of documents related to the purchase of artwork and framing

materials from vendors. Includes purchase orders, bank check/credit receipts for supplies, Art Bank Program vendor orders, shipping and receiving reports, vendor invoices, final credit card statements. Arranged alphabetically by vendor name in

fiscal year order.

Disposition: TEMPORARY: Cutoff at end of fiscal year. Delete/destroy 5 years after cutoff.

DispAuthNo: N1-059-03-10, item 19 **Date Edited:** 7/22/2005

A-06-021-30 Source File

Description: Contains information about the artwork represented by source including brochures

and visuals of art. Used only for reference purposes.

Disposition: TEMPORARY: Maintain in current file area until no longer needed for

informational purposes and then destroy.

DispAuthNo: N1-059-03-10, item 30 **Date Edited:** 7/22/2005

A-06-021-31 Artist Background and Application Files

Description: Loose leaf binders containing artist biographies, catalogues, articles, print

documents, and other printed information about the art work. Files are provided to prospective clients and are used by art appraisers and Art Bank staff. Arranged

alphabetically.

Disposition: TEMPORARY: Destroy when superseded by updated information.

DispAuthNo: N1-059-03-10, item 21 **Date Edited:** 7/22/2005

Chapter 06: Bureau of Administration Records

A-06-021-32 Total Database (Macintosh)

Description: Database operates under Macintosh Filemaker Pro SW. It is used by the Art Bank

to manage the acquisition and use of artwork available for bureaus/offices to borrow. Elements include unique registration number, title, artist, date of artwork, print number, physical size, media details, art characteristics, glazing information,

documentation type, and acquisition and current market value of artwork.

Disposition: TEMPORARY: see items 32a through 32d for specific dispositions.

DispAuthNo: N1-059-03-10, item 22 **Date Edited:** 7/22/2005

A-06-021-32a(1) Inputs

Description: Documents or forms used to create, update, or modify the records in the database

that are required for audit or legal purposes.

Disposition: TEMPORARY: File with related records after information has been entered into

the system and verified; delete/destroy in accordance with related vendor or

source files.

DispAuthNo: N1-059-03-10, item 22a(1) **Date Edited:** 7/22/2005

A-06-021-32a(2) Inputs

Description: Documents or forms used to create, update, or modify the records in the database

and not required for audit or legal purposes.

Disposition: TEMPORARY: Delete/destroy after the information has been entered into the

database and verified.

DispAuthNo: N1-059-03-10, item 22a(2) **Date Edited:** 7/22/2005

A-06-021-32b Database

Description: Consists of Borrowers Activity File (location history), Condition and Conservation

File, Bureaus and Image files. Electronic records are derived from hard copy documents or forms that are subsequently filed in the Vendor File, the Source File,

or the Subject Files.

Disposition: TEMPORARY: Retain for duration artwork is owned by GSM. When artwork is

disposed, move data to inactive status. Delete when no longer needed for administrative, legal, audit, or other operational purposes, whichever is later.

DispAuthNo: N1-059-03-10, item 22b **Date Edited:** 7/22/2005

Chapter 06: Bureau of Administration Records

A-06-021-32c(1)(a) **OUTPUTS**

2C(1)(a)

Fiscal Year Statistical Report.

Description: Reports. Ad-hoc and scheduled system generated reports related to penalties for

loss, the purchase and loan of artwork, borrowers of artwork, amount of financial

contribution by components, title and location of art, and work activities.

Disposition: TEMPORARY: Cutoff at end of fiscal year. Delete/destroy 3 years after cutoff.

DispAuthNo: N1-059-03-10, item 22c(1)(a) **Date Edited:** 7/25/2005

A-06-021-32c(1)(b) Semi-annual Inventory Report.

Description: Used by Art Bank staff to conduct twice annual Department-wide inventory of the

artworks on exhibit.

Disposition: TEMPORARY: Delete/destroy when superseded by new inventory report or when

no longer needed for administrative purposes.

DispAuthNo: N1-059-03-10, item 22c(1)(b) **Date Edited:** 7/25/2005

A-06-021-32c(2) Labels

Description: System generated labels used for inventory and identification purposes.

Disposition: TEMPORARY: Affix label to artwork. Destroy label when artwork is disposed of.

DispAuthNo: N1-059-03-10, item 22c(2) **Date Edited:** 7/25/2005

A-06-021-32d

Documentation

Description: Documents that provide a narrative description of the electronic system or physical

and technical characteristics of the records, including a record layout that describes each field including its name, size, starting or relative position; and a description of the form of the data. Also includes a data dictionary or the equivalent information associated with a database management system., including a description of the relationship between data elements in databases, and any other technical information needed to read or process the records. System documentation may

include such items as manuals and software update documentation.

Disposition: TEMPORARY: Cutoff when the system is superseded and/or replaced.

Delete/destroy 2 years after cutoff or when no longer needed for reference,

whichever is later.

DispAuthNo: N1-059-03-10, item 22d **Date Edited:** 7/25/2005

Chapter 06: Bureau of Administration Records

A-06-021-33 AUTHENTICATION'S

Document Authentication, Retrieval, and Tracking System (DARTS)

Description: The DARTS application software is used by the Authentication's Office to facilitate

the document authentication, retrieval, and tracking responsibilities of the office.

Disposition: TEMPORARY: see items 33a(1) through 33d for specific dispositions.

DispAuthNo: N1-059-03-10, item 23 **Date Edited:** 7/27/2005

A-06-021-33a(1) Inputs.

Description: Authentication Requests.

Authentication Requests received from private individuals, Federal agencies,

organizations, and foreign governments, consists of letters requesting

authentication of documents. General information is entered into the database as part of the authentication and analysis process prior to returning the original

documents to the sender.

Disposition: TEMPORARY: Return to sender after information is entered into the system and

verified.

DispAuthNo: N1-059-03-10, item 23a(1) **Date Edited:** 7/25/2005

A-06-021-33a(2) Record of Fees

Description: The name of person, number of documents, company or agency requesting

authentication services, amount of money deposited and whether a check, cash,

money order or credit card was used.

Disposition: TEMPORARY: Verify accuracy of data input. Destroy after GAO audit or when 5

years old, whichever is sooner.

DispAuthNo: N1-059-03-10, item 23a(2) **Date Edited:** 7/25/2005

A-06-021-33b(1) **DARTS Master File**

Description:

Normal Certification.

Contains information extracted from documents associated with authentication

requests. Current and previous year data are maintained on-line.

Disposition: TEMPORARY: Cutoff at end of fiscal year. Transfer to archive tape when two

years old. Maintain off-line for 3 years or until no longer needed for current business operations, whichever is later. Delete tape upon notification by

supervisor.

DispAuthNo: N1-059-03-10, item 23b(1) **Date Edited:** 7/25/2005

Chapter 06: Bureau of Administration Records

A-06-021-33b(2) **Apostilles Database**

Description:

Contains information needed for certificate issued by the Authentication Office for documents that are destined for use in countries as specified in the Treaty Abolishing requirements of Legislation for Foreign Public Documents that became effective October 15, 1981. Article 7 of the treaty indicates that a register must be kept.

Disposition:

TEMPORARY: Cut off at the end of 5 years, transfer to magnetic tape and maintain in tape library. Transfer to WNRC two years after cutoff. Delete 13 years after transfer to WNRC. Note: To maintain readability of data, refresh/migrate data according to media industry standards.

DispAuthNo:

N1-059-03-10, item 23b(2)

Date Edited: 7/25/2005

A-06-021-33c(1) Outputs

Description:

Receipts.

Receipts include the following information: name of requester, company or agency who requested authentication services, the number of documents authenticated, payment and form of payment received.

Disposition:

TEMPORARY: Produce receipt after information is input and verified. Return receipt and original documents submitted for authentication to requester.

DispAuthNo:

N1-059-03-10, item 23c(1)

Date Edited: 7/25/2005

A-06-021-33c(2) **Monthly and Other Reports**

Description:

Using the reports function of DART produce a variety of reports including record of total number of documents authenticated each month, customers who have received over the counter and mail service each month, and fees. Also provides report of total number of documents received by mail and over the counter.

Disposition:

TEMPORARY: Destroy when 5 years old.

DispAuthNo:

N1-059-03-10, item 23c(2)

Date Edited: 7/25/2005

A-06-021-33c(3)(a) Certifications

Description:

Normal Certification Certificates

System generated certificates issued by the Authentication Office certifying the

authenticity of the documents.

Disposition:

TEMPORARY: Produce certificate. Transmit to requester.

DispAuthNo:

N1-059-03-10, item 23c(3)(a)

Date Edited:

7/25/2005

Chapter 06: Bureau of Administration Records

A-06-021-33c(3)(b) **Apostilles**

Description:

System generated certificates issued by the Authentication Office certifying the

authenticity of the documents.

Disposition: TEMPORARY: Produce certificate. Transmit to requester.

DispAuthNo: N1-059-03-10, item 23c(3)(b) **Date Edited:** 7/27/2005

A-06-021-33d Documentation

Description: DARTS software user guide

Disposition: TEMPORARY: Delete/destroy when superseded or obsolete, or upon authorized

deletion of the related master file or database, or upon the destruction of the output of the system if the output is needed to protect legal rights, whichever is

later.

DispAuthNo: N1-059-03-10, item 23d

Date Edited: 7/25/2005

A-06-021-34 Undeliverable Cases

Description: Contains authenticated documents, letters of instruction on authentication

procedures for powers of attorney, invitations, birth, marriage and death certificates, letters of inheritance, affidavits of support, original and copies of transcripts and diplomats. Material has been returned as undeliverable, and there has been no

follow up by the requestor.

Disposition: TEMPORARY: Cutoff at end of month in which document is returned.

Delete/destroy 1 year after cutoff.

DispAuthNo: N1-059-03-10, item 24 **Date Edited:** 7/26/2005

A-06-021-35 Copies of Certificates of Deposit

Description: File contains copies of the original certificates, which are sent to Bureau of

Resource Management (RM) for processing.

Disposition: TEMPORARY: Destroy when 3 years old.

DispAuthNo: N1-059-03-10, item 25 **Date Edited:** 7/26/2005

A-06-021-36 Precedent Cases

Description: Contains background material concerning the Amendatory Act approved September

15, 1789 designating the Secretary of State to authenticate using the seal of office.

Also includes policies, procedures and precedent uses of the seal.

Disposition: TEMPORAY: Retire to RSC when 5 years old. Retain for 25 years.

DispAuthNo: N1-059-03-10, item 26 **Date Edited:** 7/26/2005

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A-06-021-37 Electronic Mail and Word Processing System Copies

Description: Electronic copies of records that are created on electronic mail and word processing

systems and used solely to generate a recordkeeping copy of the records covered by the other items in the schedule. Also includes electronic copies of records created on electronic mail and word processing systems that are maintained for

updating, revision, or dissemination.

Disposition: TEMPORARY: see items 37a and b for specific disposition.

DispAuthNo: N1-059-03-10, item 27 **Date Edited:** 7/26/2005

A-06-021- Electronic Mail and Word Processing System Copies 37a

Description: Copies that have no further administrative value after the recordkeeping copy is

made. Includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the

recordkeeping copy.

Disposition: TEMPORARY: Delete within 180 days after the recordkeeping copy has been

produced.

DispAuthNo: N1-059-03-10, item 27a **Date Edited:** 7/26/2005

A-06-021- Electronic Mail and Word Processing System Copies 37b

Description: Copies used for dissemination, revision, or updating that are maintained in addition

to the recordkeeping copy.

Disposition: TEMPORARY: Delete when dissemination, revision, or updating is completed.

DispAuthNo: N1-059-03-10, item 27b **Date Edited:** 7/27/2005

Chapter 06: Bureau of Administration Records

G-8 Summit Planning Organization

A-06-025-01 Executive Director - Program Files

Description: Includes reports, email messages, background material, and correspondence

documenting the activities related to the unique, substantive functions for which the

SPO is responsible. Arranged by subject.

Disposition: TEMPORARY. Cut off at end of the Summit. Retain in office area until

completion and publication of after action report and retire to Records Service

Center. Delete/destroy 10 years after cut off.

DispAuthNo: N1-059-04-1, item 1 **Date Edited:** 4/8/2005

A-06-025-02 Executive Director - After-Action Report

Arranged by function

Description: Report with annexes detailing the activities of each of the Summit Planning

Organization's functional areas (administration, liaison, communications,

accommodations, etc.). The report which is organized by function, documents the activities of the SPO, and serves as a "lessons learned" resource for future summit

planning.

Disposition: TEMPORARY. Retire to the Records Service Center upon completion of the

Summit and publication of the report. Delete/destroy when 10 years old or when superseded by report of the next U.S. hosted Summit, whichever is sooner.

DispAuthNo: N1-059-04-1, item 2 **Date Edited:** 4/8/2005

A-06-025-03 Executive Director - Daily Activity Records

Description: Files include calendars, appointment books, schedules, logs, diaries, and other

records documenting minutes, appointments, telephone calls, trips, visits, and other

activities while serving in an official capacity.

Disposition: TEMPORARY: See sub sections 03a & b for specific dispositions.

DispAuthNo: N1-059-04-1, item 3 **Date Edited:** 7/16/2007

A-06-025-

03a

Executive Director - Daily Activity Records

Description: a. Records containing substantive information relating to official activities, the

substance of which has not been incorporated into official files, excluding records

relating to official activities of high-level officials.

Disposition: TEMPORARY: Cut off at end of the Summit. Retain in office area until

completion of after-action report and retire to Records Service Center.

Delete/destroy 2 years after cut off.

DispAuthNo: GRS 23, item 5a Date Edited: 4/8/2005

Chapter 06: Bureau of Administration Records

A-06-025-

03b

Executive Director - Daily Activity Records

Description:

b. Records documenting routine activities containing no substantive information and records containing substantive information, the substance of which has been incorporated into official files, excluding records related to official activities of high-

level officials

Disposition:

TEMPORARY: Delete/destroy when no longer needed for convenience or

reference.

DispAuthNo:

GRS 23, item 5b

Date Edited:

4/8/2005

A-06-025-04

Deputy Executive Director - Program Files

Description:

Includes reports, email messages, memoranda, background material, and general correspondence documenting the activities related to the unique, substantive functions for which the SPO is responsible. Topics include such things as Core Week Schedule, non-government organizations, special interest groups, action item follow-up, administration and internal SPO policy, personnel issues, etc. Arranged

by subject.

Disposition:

TEMPORARY: Cut off at end of summit. Retain in office area until completion of after action report. Delete/destroy upon completion and publication of after action

report.

DispAuthNo:

N1-059-04-1, item 4

Date Edited:

4/8/2005

A-06-025-05

Deputy Executive Director - Meeting Minutes

Description:

File contains email, transcripts, or written copies of minutes, agenda, list of attendees, proposals submitted for consideration, action items and final recommendations of meetings with SPO staff, Interagency Governmental Task Force, and other forums involved in summit planning. Arranged by topic or

organization.

Disposition:

TEMPORARY: Cut off at end of the Summit. Retain in office area until completion of after action report. Delete/destroy upon completion of after action

report.

DispAuthNo:

N1-059-04-1, item 5

Date Edited:

4/8/2005

Chapter 06: Bureau of Administration Records

A-06-025-06 General Counsel - Program Files

Description: Includes reports, email messages memoranda, background material, and general

correspondence documenting the legal advice provided SPO staff relative to the functions for which the SPO is responsible. Topics can include such areas as Host and Legacy Committee liaison, contract reviews, ethics questions, first amendment issues, Privacy Act statement for website, trademark issues related to G-8 logo, and

interpretations of laws. Arranged by subject within each SPO function.

Disposition: TEMPORARY: Cut off at end of the Summit. Retain in office area until

completion of after action report. Delete/destroy upon completion of after action

report.

DispAuthNo: N1-059-04-1, item 6 **Date Edited:** 4/14/2005

A-06-025-07 Functional Director - Functional Director Files

Description: Files contain information about Director and staff officer activities related to

domestic and international liaison, operations, communications, events, credentialing, accommodations, information technology, and administration, including liaison with State's Bureau of Administration. Includes copies of correspondence, progress reports, publications, drafts of documents, background data and reference materials. Arranged by subject or country within each functional

area.

Disposition: TEMPORARY: Cut off at conclusion of project or assignment. At end of the

Summit screen out documents for inclusion in the appropriate official file. Delete/destroy remainder of file when projects, assignments, and after action

report are completed. (ref. N1-59-89-25, item 15)

DispAuthNo: N1-059-04-1, item 7 **Date Edited:** 4/8/2005

A-06-025-08 Functional Director - Video Recordings

Description: Programs produced by and acquired from outside sources. Videos are to be used

for the purpose of informing the public about the planning of events related to the G-

8 Summit.

Disposition: TEMPORARY: Cut off at end of the Summit. Retain in office area until

completion and publication of after action report and retire to Records Service

Center. Delete/destroy 10 years after cut off.

DispAuthNo: N1-059-04-1, item 8 **Date Edited:** 4/8/2005

Chapter 06: Bureau of Administration Records

A-06-025-09 Functional Director - G-8 Summit Planning Organization

Web site (http://www.g8usa.gov/) Records

Description: The G-8 web site is intended to disseminate information (available in other forms)

> to the public, to report on the activity and operation of the web site, and to collect information needed to complete preparations for the Summit. The website will also collect information, including some personal information, required for the issuance of the Summit credentials. This information will be processed in accordance with the provisions set forth in Department of State Privacy Act System of Records, STATE-36, Security Records. In addition, information is collected for statistical purposes and software programs are used to monitor network traffic to identify unauthorized attempts to upload or change information or otherwise cause damage.

Disposition: TEMPORARY: See sub sections 09a through d for specific dispositions.

DispAuthNo: N1-059-04-1, item 9 Date Edited: 7/16/2007

A-06-025-Functional Director - G-8 Summit Planning Organization 09a

Web site (http://www.g8usa.gov/) Records

Description: a. g8usa.gov Web site Archives

> Includes a paper copy of all screen printouts of web site pages at any given point in time. The printouts are copies of content material as actually seen by a user as of a specific date. The web site serves as a static repository for summit planning

information and will be taken down at the conclusion of the Summit.

Disposition: TEMPORARY: Cut off at end of the Summit. Hold printouts in current file area

until completion of after action report and retire to Records Service Center.

Delete/destroy 10 years after cut off.

DispAuthNo: N1-059-04-1, item 9a Date Edited: 4/8/2005

A-06-025-

09b

Functional Director - G-8 Summit Planning Organization Web Site

(http://www.g8usa.gov/) Records

b. g8usa.gov Web site Content Records **Description:**

> Electronic records documenting the content of the g8usa.gov web site. This includes, but will not be limited to personal information on all individuals who have or seek credentials e.g., delegates, media, staff, vendors, etc. who need access to Summit venues (typically those that have designated as NSSE (National Special Security Event) venues). Other information collected includes data about a persons visit to the web site. Any personal information an individual provides in an email

message will only be used to respond to the email.

TEMPORARY: Cut off at end of the Summit. Hold records until completion of Disposition:

after action report or superseded by updated information. Retire to Records Service Center upon completion of after action report and delete/destroy 3 years

after cut off.

DispAuthNo: N1-059-04-1, item 9b Date Edited: 4/8/2005

Chapter 06: Bureau of Administration Records

A-06-025- Functional Director - G-8 Summit Planning Organization Web Site

09c (http://www.g8usa.gov/) Records

Description: c. g8usa.gov Feedback and Statistical Reports

Electronic records that contain all comments and feedback from web site users. There is also another set of reports, which contain utilization statistics on the web

site.

Disposition: TEMPORARY: Cut off at end of the Summit. Upon completion of after action

report retire to Records Service and delete/destroy data 3 years after cut off.

DispAuthNo: N1-059-04-1, item 9c **Date Edited:** 4/8/2005

A-06-025- Functional Director - G-8 Summit Planning Organization Web Site

09d (http://www.g8usa.gov/) Records

Description: d. g8usa.gov Maintenance and Operations Records

Paper and electronic records documenting g8usa.gov web site maintenance,

operations, and program administration.

Disposition: TEMPORARY: Cut off at end of the Summit. Upon completion of after action

report retire to Records Service Center and delete/destroy records 3 years after

cut off.

DispAuthNo: N1-059-04-1, item 9d **Date Edited:** 4/8/2005

A-06-025-10 Functional Director - Duplicate Personnel Files

Description: Supervisor's personnel files containing correspondence, memoranda, resumes,

forms, and other records relating to positions, authorizations, pending actions, copies of position descriptions, and records on individual employees duplicated in or

not appropriate for the employees Official Personnel Folder.

Disposition: TEMPORARY. Review at end of summit and destroy superseded or obsolete

documents; or delete/destroy file relating to an employee within 1 year after

separation or completion of summit.

DispAuthNo: GRS 1, item 18a **Date Edited:** 4/8/2005

Chapter 06: Bureau of Administration Records

A-06-025-

11a

Functional Director - Time and Attendance Source Records

Description:

All time and attendance records upon which leave input data is based, such as signin sheets, Time and Attendance Reports, Authorization of Premium Compensation; TATEL/PC (draft and final report); Leave Statements; flexible records, and leave applications for jury and military duty. Records may be in either paper or electronic form.

Originating office

Disposition:

TEMPORARY. Cut off files annually. Retire to Records Service Center. Delete/destroy after GAO audit or when 6 years old, whichever is sooner.

DispAuthNo:

GRS 2, item 7

Date Edited:

4/8/2005

A-06-025-11b **Functional Director - Time and Attendance Records**

110

Description: All other office copies.

Disposition:

TEMPORARY. Delete/destroy when no longer needed, or when 2 years old,

whichever is sooner.

DispAuthNo:

GRS 23, item 1

Date Edited:

4/8/2005

A-06-025-

12a

Functional Director - Leave Slips - Application for Leave

Description:

Includes requests for and approvals of leave.

If timecard or TATEL draft has been initialed by employee.

Disposition:

TEMPORARY. Delete/destroy leave slip at end of following pay period.

DispAuthNo:

GRS 2, item 6a

Date Edited:

4/8/2005

A-06-025-

12b

Functional Director - Leave Slips - Application for Leave

Description:

If timecard or TATEL draft has not been initialed by employee.

Disposition:

TEMPORARY. Delete/destroy leave slip after GAO audit or when 3 years old,

whichever is sooner.

DispAuthNo:

GRS 2, item 6b

Date Edited:

4/8/2005

Chapter 06: Bureau of Administration Records

A-06-025-13 Functional Director - Budget Background Records

Description: Includes cost statements, rough data and similar materials accumulated in the

preparation of annual budget estimates, including duplicates of budget estimates and justifications and related appropriation language sheets, narrative statements, and related schedules; and originating offices' copies of reports submitted to budget

offices.

Disposition: TEMPORARY: Delete/destroy 1 year after the close of the fiscal year covered by

the budget.

DispAuthNo: GRS 5, item 2 **Date Edited:** 4/8/2005

A-06-025-14 Functional Director - Financial Plans

Description: Financial plans and related worksheets, reports, and communications.

Disposition: TEMPORARY: Delete/destroy 3 years after close of fiscal year in which prepared.

DispAuthNo: N1-059-04-1, item 14 **Date Edited:** 7/16/2007

A-06-025-15 Functional Director - Appropriation Allotment Files

Description: Allotment records showing status of obligations and allotments under each

authorized appropriation.

Disposition: TEMPORARY: Delete/destroy 6 years and 3 months after close of fiscal year

involved.

DispAuthNo: GRS 7, item 3 **Date Edited:** 4/8/2005

A-06-025-16 Functional Director - Expenditure Accounting Posting and Control Files

Description: Records such as distribution ledgers, registers of interoffice transfers, expenditure

voucher file, liquidation memoranda and other series of posting and control media, subsidiary to the allotment ledgers, and not otherwise provided for in this schedule.

Included are related communications, reports, tabulations, and worksheets.

Disposition: TEMPORARY: Delete/destroy when 3 years old.

DispAuthNo: GRS 7, item 4a **Date Edited:** 4/8/2005

A-06-025-17 Functional Director - Allotment Reports

Description: Monthly reports of allottees, showing current and cumulative to date transactions as

reflected in their distribution ledgers. Included are related communications and

worksheets.

Disposition: TEMPORARY: Delete/destroy 4 years after close of fiscal year prepared.

DispAuthNo: N1-059-04-1, item 17 **Date Edited:** 7/16/2007

Chapter 06: Bureau of Administration Records

A-06-025-18 Functional Director - Routine Procurement and Contract Files

Description: Files contain contracts, requisitions, purchase orders, leases, and bond and surety

records, including correspondence and related papers pertaining to award,

administration, receipt, inspection and payment.

Disposition: TEMPORARY: Cut off at end of the Summit. Delete/destroy upon termination or

completion of contract or payment, whichever is later. Note: This excludes files located in the contracting office and finance offices that are responsible for the

record copy.

DispAuthNo: GRS 3, item 3c **Date Edited:** 4/8/2005

A-06-025-19 Functional Director - Supplies, Services, and Equipment (General)

Description: Records relating to the ordering of supplies, requests for office services and

equipment requests and receipts. Included are Requisition for Equipment, Supplies, Furniture, Furnishing or Services, Requisition for Publishing, Reproduction, and

Distribution Services and related documents.

Disposition: TEMPORARY: Cut off at end of the Summit. Delete/destroy when 2 years old or

when no longer needed, whichever is later. Note: This excludes files located in

official files of those offices that are responsible for the record copy.

DispAuthNo: GRS 23, item 1 Date Edited: 4/8/2005

A-06-025-20 Functional Director - International Merchant Purchase Authorization Card

(I.M.P.A.C.) Files

Description: Includes copies of monthly credit card statements, receipts, and related

documentation. Note: Signed original of Monthly Credit Card Statement is maintained by the Office of Resource Management for 6 years and 3 months.

Arranged by fiscal year.

Disposition: TEMPORARY: Cut off at end of the Summit. Retire to Records Service Center.

Delete/destroy after GAO audit or when 3 years old, whichever is sooner.

DispAuthNo: N1-059-04-1, item 20 **Date Edited:** 7/16/2007

A-06-025-21 Functional Director - Travel Files

Description: Includes records relating to routine and operational aspects of travel and visits by

other than prominent individuals and congressmen. Included are travel orders, per diem vouchers, transportation requests, hotel reservations, and all supporting

documents.

Disposition: TEMPORARY: Cut off at end of the Summit. Retire to Records Service Center.

Delete/destroy 2 years after cut off.

DispAuthNo: GRS 9, item 4a **Date Edited:** 4/8/2005

Chapter 06: Bureau of Administration Records

A-06-025-22 Functional Director - Word Processing and E-Mail Files

Description: Electronic copies of records, including but not limited to letters, messages,

memoranda, reports, handbooks, directives, and manuals, that are created on electronic mail and word processing systems and used solely to generate a recordkeeping copy of the records covered by the other items in this section. Also includes electronic copies of records created on electronic mail and word

processing systems that are maintained for updating, revision, or dissemination.

Disposition: See items 22a and b.

DispAuthNo: GRS 23, item 10 **Date Edited:** 4/8/2005

A-06-025- Functional Director - Word Processing and E-Mail Files 22a

Description: Copies that have no further administrative value after the recordkeeping copy is

made. Includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the

recordkeeping copy.

Disposition: TEMPORARY: Delete within 180 days after the recordkeeping copy has been

produced.

DispAuthNo: GRS 23, item 10 **Date Edited:** 4/8/2005

A-06-025- Functional Director - Word Processing and E-Mail Files 22b

Description: Copies used for dissemination, revision, or updating that are maintained in addition

to the recordkeeping copy.

Disposition: TEMPORARY: Delete when dissemination, revision, or updating is completed.

DispAuthNo: GRS 23, item 10 **Date Edited:** 4/8/2005